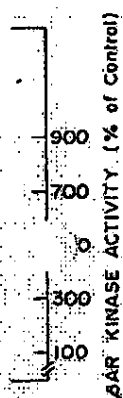


kinase activity
in cytosolic
se in the kinase
in Fig. 4, an
observed
mislocated from
red
when the extent
ses the cytosolic
wn).



or kinase from the
S49 lymphoma cells
t (ISO) or 10^{-6} M
activity was
na membrane
occupied
licated are the

cy of the
a receptor kinase.
specific β -receptor
substrate
nl. adenylate

cyclase stimulatory receptor purified to homogeneity we attempted to use this translocation phenomenon of the kinase to further probe the specificity of this kinase. S49 lymphoma cells are known to possess prostaglandin E_1 (PGE_1) receptors coupled to stimulation of adenylate cyclase (Bourne et al., 1982). As has been shown previously (Strasser et al., 1986) prolonged exposure of S49 lymphoma cells to PGE_1 induces a homologous form of desensitization to PGE_1 stimulation of adenylate cyclase. Strikingly, PGE_1 induced desensitization of the PGE_1 stimulated adenylate cyclase also promotes a translocation of the receptor kinase activity from the cytosol to the plasma membrane (Figure 4).

DISCUSSION

The data presented here document that: 1) β -adrenergic agonists can stimulate the phosphorylation of their own receptors, the β -adrenergic receptor, via a cAMP-independent pathway. 2) This phosphorylation is carried out by a kinase (BARK) which is exquisitely specific for the agonist occupied form of the β -adrenergic receptor. 3) BARK is a cytosolic enzyme which appears to translocate to the plasma membrane upon occupancy of the β -receptor with an agonist. 4) BARK may have a broader specificity since other stimulators of adenylate cyclase such as PGE_1 will promote the translocation of the activity from cytosol to plasma membrane. 5) Phosphorylation of the β -adrenergic receptor by BARK appears to correlate temporally with the process of homologous desensitization in S49 cells.

Moreover, this receptor kinase activity has been separated from other known kinase activities by sequential chromatography on molecular sieve HPLC and DEAE chromatography (Benovic et al., 1986). It was found that the β -adrenergic receptor kinase does not phosphorylate such common substrates as mixed histones or casein. Moreover the β -adrenergic receptor kinase is not stimulated by common kinase activators such as cAMP, cGMP, Ca^{2+} /calmodulin or Ca^{2+} /phosphatidylserine indicating that the β -adrenergic receptor kinase is distinct from other known kinases (Benovic et al., 1986).

The homologous nature of desensitization is characterized by a selective blunting of the response to the desensitizing agonist. Thus, phosphorylation of the agonist-occupied form of the β -adrenergic receptor by BARK provides a mechanism which can account for the phenomenon of homologous desensitization. Our current understanding of the process of homologous desensitization can be outlined as follows. Initially the agonist binds to its receptor inducing a putative conformational change which enables the receptor to interact with the

guanine nucleotide regulatory protein N_g . This results in stimulation of adenylate cyclase. Independent of the generation of the second messenger cAMP the cytosolic receptor kinase becomes associated with the plasma membrane where it interacts with and phosphorylates the agonist-occupied form of the receptor. The phosphorylated receptors are uncoupled from their interaction with N_g (unpublished observations). The phosphorylated receptors are then sequestered away from the plasma membrane into a vesicular compartment (Harden, 1983; Sibley and Lefkowitz, 1985). Whether receptor phosphorylation represents the trigger for sequestration or whether this sequestered compartment represents a specific site for receptor dephosphorylation are questions requiring further investigation (Sibley et al., 1986).

The most remarkable property of BARK is its exquisite specificity for the agonist-occupied form of the β -adrenergic receptor. This situation is strikingly similar to the light adaptation process in the rod outer segment of the eye where rhodopsin phosphorylation is catalyzed by a specific rhodopsin kinase which phosphorylates only bleached rhodopsin (i.e. the "agonist" occupied form of the light receptor) (Bownds et al., 1972; Kuhn and Dreyer, 1972; Shichi et al., 1974, 1978). Rhodopsin phosphorylation attenuates the ability of rhodopsin to activate transducin, the nucleotide binding protein involved in this system (Shichi et al. 1984; Wilden et al., 1986). Thus, in addition to the similarities that exist in the functional components of these disparate systems (hormonal transduction and light perception) the discovery of a hormone receptor specific kinase suggests that these systems may share common regulatory mechanisms.

This homology has been further strengthened by the recent cloning of the gene for the hamster β -adrenergic receptor (Dixon et al., 1986). The β -adrenergic receptor and rhodopsin share several similar features including two glycosylation sites near the amino-terminus, seven putative trans-membrane helices, some amino acid homology and potential sites of phosphorylation. Phosphorylation of rhodopsin by rhodopsin kinase is known to occur primarily at serine and threonine residues clustered at the C-terminal 15 amino acids. The hamster β -adrenergic receptor also possesses a serine and threonine rich region in the last C-terminal 21 amino acids which may represent the site of BARK phosphorylation.

The S49 lymphoma cell, in particular the kin^- mutant which lacks protein kinase A, has served as a useful tool in the identification of a novel protein kinase (BARK) specific for the agonist occupied form of adenylate cyclase coupled receptors. This kinase may play an important

role in the process of homologous desensitization of adenylyl cyclase responsiveness. Moreover, the discovery of this enzyme greatly strengthens the homology which exists between such disparate systems as light transduction and hormone responsiveness.

References

- Benovic JL, Shorr RCL, Caron MG, Lefkowitz RJ (1984) The mammalian β_2 -adrenergic receptor: Purification and characterization. *Biochemistry* 23:4510-4518.
- Benovic JL, Pike LJ, Cerione RA, Staniszewski C, Yoshimasa T, Codina J, Caron MG, Lefkowitz RJ (1985) Phosphorylation of the mammalian β -adrenergic receptor by cyclic AMP-dependent protein kinase: Regulation of the rate of receptor phosphorylation and dephosphorylation by agonist occupancy and effects on coupling of the receptor to the stimulatory guanine nucleotide regulatory protein. *J Biol Chem* 260:7094-7101.
- Benovic JL, Strasser RH, Caron MG, Lefkowitz RJ (1986) β -Adrenergic receptor kinase: Identification of a novel protein kinase that phosphorylates the agonist-occupied form of the receptor. *Proc Natl Acad Sci USA* 83:2737-2801.
- Bokoch GM, Katada T, Northup JK, Ui M, Gilman AG (1984) Purification and properties of the inhibitory guanine nucleotide binding regulatory component of adenylyl cyclase. *J Biol Chem* 259:3560-3567.
- Bourne HR, Coffino P, Tomkins GH (1975) Selection of a variant lymphoma cell deficient in adenylyl cyclase. *Science* 187:750-752.
- Bourne HR, Kaslow D, Kaslow DR, Salomon MR, Licho V (1981) Hormone-sensitive adenylyl cyclase mutant phenotype with normally regulated beta-adrenergic receptors uncoupled from catalytic adenylyl cyclase. *Mol Pharm* 29:435-441.
- Bourne HR, Beidermann B, Steinberg F, Brothers VM (1982) Three adenylyl cyclase phenotypes in S49 lymphoma cells produced by mutations of one gene. *Mol Pharm* 22:204-210.
- Bownds D, Daves J, Miller J, Stahlman M (1972) Phosphorylation of frog photoreceptor membranes induced by light. *Nature (London)* New Biol 237:125-127.
- Cerione RA, Sibley DR, Codina J, Benovic JL, Winslow J, Neer EJ, Birnbaumer L, Caron MG, Lefkowitz RJ (1984) Reconstitution of a hormone-sensitive adenylyl cyclase system: The pure β -adrenergic receptor and guanine nucleotide regulatory protein confer hormone responsiveness on the resolved catalytic unit. *J Biol Chem* 259:9979-9982.

- Clark RB, Friedman J, Piashad N, Ruoho AE (1985) Epinephrine-induced sequestration of the β -adrenergic receptor in cultured S49 WT and cyc⁻ lymphoma cells. *J Cyclic Nucleotide Protein Phosphorylation Res* 10:97-119. Not
- Codina J, Hildebrandt JD, Sakura RD, Birnbaumer M, Bryan J, Manclark R, Iyengar R, Birnbaumer L (1984) N_6 and N_1 , the stimulatory and inhibitory regulatory components of adenylate cyclase: Purification of the human erythrocyte proteins without the use of activating regulatory ligands. *J Biol Chem* 259:5871-5886. Per Pfe
- Dixon RAF, Kobilka BK, Strader DJ, Benovic JL, Dohman HC, Frielle T, Bolanowski MA, Bennett CD, Rands E, Diehl RE, Mumford RA, Slater EE, Sigal IS, Caron MG, Lefkowitz EJ, Strader CD (1986) Cloning of the gene and cDNA for mammalian β -adrenergic receptor and homology with rhodopsin. *Nature* 321:75-79. Ros
- Green DA, Clark RB (1981) Adenylate cyclase coupling proteins are not essential for agonist-specific desensitization of lymphoma cells. *J Biol Chem* 256:2105-2108. Sha
- Green DA, Friedman J, Clark RB (1981) Epinephrine desensitization of adenylate cyclase from cyc⁻ and S49 cultured lymphoma cells. *J Cyclic Nucleotide Res* 7:161-172. Shi
- Harden TK, Scheer AG, Smith MM (1982) Differential modification of the interaction of cardiac muscarinic cholinergic and beta-adrenergic receptors with guanine nucleotide binding component(s). *Mol Pharm* 21:570-580. Shi
- Harden TK (1983) Agonist-induced desensitization of the β -adrenergic receptor-linked adenylate cyclase. *Pharmacol Res* 35:5-32. Shc
- Honey CJ, Rockson SG, Countaway J, Egan DA (1983) Purification and characterization of the mammalian β_2 -adrenergic receptor. *Biochemistry* 22:660-668. Sit
- Iyengar R, Swartz TB, Birnbaumer L (1979) Coupling of glucagon receptor to adenyl cyclase: Requirement of a receptor-related guanyl nucleotide binding site for coupling of receptor to the enzyme. *J Biol Chem* 254:1119-1123. Sit
- Jacobs KH, Saw W, Schultz G (1976) Reduction of adenylate cyclase activity in lysates of human platelets by the alpha-adrenergic component of epinephrine. *J Cyclic Nucleotide Res* 2:281-286. Sit
- Kuhn H, Dréyar WL (1972) Light dependent phosphorylation of rhodopsin by ATP. *FEBS Lett* 20:1-6.
- Mahan LC, Koochman AM, Insel PA (1985) Genetic analysis of Sit

β -adrenergic receptor internalization. *Proc Natl Acad Sci USA* 82:129-133.

Northup JK, Sternweis PC, Smigel MD, Schleifer LS, Ross EM, Gilman AG (1980) Purification of the regulatory component of adenylate cyclase. *Proc Natl Acad Sci USA* 77:6516-6520.

Perkins JP (1983) Desensitization of the response of adenylate cyclase to catecholamines. *Curr Top Memb Trans* 18:85-108.

Pfeuffer E, Dreher RM, Metzger H, Pfeuffer T (1985) Catalytic unit of adenylate cyclase: Purification and identification by affinity crosslinking. *Proc Natl Acad Sci USA* 82:3086-3090.

Ross EM, Gilman AG (1977) Reconstitution of catecholamine-sensitive adenylate cyclase activity: Interaction of solubilized components with receptor-replete membranes. *Proc Natl Acad Sci USA* 74:3715-3719.

Sharma SK, Klee WA, Nirenberg M (1975) Dual regulation of adenylate cyclase accounts for narcotic dependence. *Proc Natl Acad Sci USA* 72:3092-3096.

Shichi H, Somers RL, O'Brien PJ (1974) Phosphorylation of opsin: Most rhodopsin molecules are not phosphorylated. *Biochem Biophys Res Commun* 61:217-221.

Shichi H, Somers RL (1978) Light-dependent phosphorylation of rhodopsin: Purification and properties of rhodopsin kinase. *J Biol Chem* 253:7040-7046.

Shichi H, Yamamoto K and Somers RL (1984) GTP binding protein: properties and lack of activation by phosphorylated rhodopsin. *Vision Res* 24:1523-1531.

Shorr, RGL, Lefkowitz RJ and Caron MG (1981) Purification of the β -adrenergic receptor: Identification of the hormone binding site. *J Biol Chem* 256:5820-5826.

Sibley DR, Peters JR, Nambi P, Caron MG, Lefkowitz RJ (1984) Desensitization of turkey erythrocyte adenylate cyclase: β -Adrenergic receptor phosphorylation is correlated with alteration of the adenylate cyclase activity. *J Biol Chem* 259:9742-9749.

Sibley DR, Lefkowitz RJ (1985) Adenylate cyclase-coupled hormone receptors: Molecular mechanisms of desensitization. *Nature (London)* 317:124-129.

Sibley DR, Strasser RH, Caron MG, Lefkowitz RJ (1985) Homologous desensitization of adenylate cyclase is associated with phosphorylation of the β -adrenergic receptor. *J Biol Chem* 260:3883-3886.

Sibley DR, Strasser RH, Daniel K, Caron MG, Lefkowitz RJ (1986)

Homologous desensitization of adenylate cyclase: The role of β -adrenergic receptor phosphorylation and dephosphorylation. *Fed Proc* 45:798.

- Stadel JM, Nambi P, Shorr RGL, Sawyer DF, Caron MG, Lefkowitz RJ (1983) Catecholamine-induced desensitization of turkey erythrocyte adenylate cyclase is associated with phosphorylation of the β -adrenergic receptor. *Proc Natl Acad Sci USA* 80:3173-3177.
- Steer M, Insel PA, Melmon KL, Coffino P (1976) Agonist-specific refractoriness induced by isoproterenol: Studies with cell mutants. *J Biol Chem* 251:7572-7576.
- Steinberg RA, Van Daalen Wetters T, Coffino P (1978) Kinase-negative mutants of S49 mouse lymphoma cells carry a transdominant mutation affecting expression of cAMP-dependent protein kinase. *Cell* 15:1351-1361.
- Sternweis PC, Northup JK, Smigel MD, Gilman AG (1981) The regulatory component of adenylate cyclase: Purification and properties. *J Biol Chem* 256:11517-11526.
- Stiles GL, Benovic JL, Caron MG, Lefkowitz RJ (1984) Mammalian β -adrenergic receptors: Distinct glycoprotein populations containing high mannose or complex type carbohydrate chains. *J Biol Chem* 259:8655-8663.
- Strasser RH, Cerione RA, Codina J, Caron MG, Lefkowitz RJ (1985) Homologous desensitization of the β -adrenergic receptor: Functional integrity of the desensitized receptor from mammalian lung. *Mol Pharmacol* 28:237-245.
- Strasser RH, Sibley DR, Lefkowitz RJ (1986a) A novel catecholamine-activated cAMP-independent pathway for β -adrenergic receptor phosphorylation in wild-type and mutant S49 lymphoma cells: Mechanism of homologous desensitization of adenylate cyclase. *Biochemistry* 25:1371-1377.
- Strasser RH, Benovic JL, Caron MG, Lefkowitz RJ (1986b) β -Agonist and prostaglandin E_1 -induced translocation of the β -adrenergic receptor kinase: Evidence that the kinase may act on multiple adenylate cyclase coupled receptors. *Proc Natl Acad Sci USA*, 83: 6362-6366.
- Strulovici B, Cerione RA, Kilpatrick BF, Caron MG, Lefkowitz RJ (1984) Direct demonstration of impaired functionality of a purified desensitized β -adrenergic receptor in a reconstituted system. *Science* 225:837-840.
- Wilden, U, Hall, SW, Kuhn, H (1986) Phosphodiesterase activation

rc f
lation. Fed

by photoexcited rhodopsin is quenched when rhodopsin is
phosphorylated and binds the intrinsic 48K-DA protein of rod outer
segment. Proc Natl Acad Sci USA 83, 1174-1178.

itz, RJ
y erythrocyte
of the
-3177.
cific
cell mutants.

arry a
ependent

on and

lian
ions
chains. J Biol

(1.
or: Functional
lung. Mol

β -adrenergic
lymphoma
nylate

Agonist
drenergic
multiple
ci USA, 83:

tz RJ
of a purified
system.

vation

295P EFFECTS OF (+) AND RACEMIC SALBUTAMOL ON AIRWAY RESPONSES IN THE GUINEA-PIG

J. Morley, I.D. Chapman, A. Foster, K. Hoshiko & L. Mazzoni, Preclinical Research, Sandoz Pharma Ltd., 4002 Basel, Switzerland.

In recent years, the incidence and severity of asthma, as well as associated death rates, have increased in several countries. It is appropriate therefore to ascertain whether anti-asthma drugs exhibit adverse effects that might contribute to these changes. An association between usage of beta-adrenoceptor agonist drugs and airway hyperreactivity in clinical asthma (Anonymous, 1990) has prompted study of (+)salbutamol, the most commonly used bronchodilator.

In the anaesthetised, ventilated guinea-pig (Sanjar et al., 1990), reactivity of the airways to intravenous histamine (1.0-3.2 µg/kg) was enhanced significantly ($p < 0.01$, $n=10$) following an intravenous infusion for one hour of (+)salbutamol (100 µg/kg), the non-bronchodilator enantiomer of racemic salbutamol. In studies with racemic salbutamol the bronchodilator action of (-) salbutamol precluded demonstration of airway hyperreactivity; hence, airway hyperreactivity was not detected following infusion of (+)salbutamol over 1 hour (100 µg/kg, $n=10$). However, increased responsiveness to histamine was demonstrable four days after sustained subcutaneous infusion of (+)salbutamol (1 mg/kg/day, $n=10$), implying that the effect of (+)salbutamol on airway responsiveness was less prone to tachyphylaxis than the spasmolytic effect of (-)salbutamol.

Subcutaneous infusion of (+)salbutamol (1 mg/kg) for more than two days increased the susceptibility of sensitised guinea-pigs to inhaled ovalbumin and caused almost 100 % mortality: an effect which was abrogated by inhalation of aerosolised (+)isoprenaline (0.1 % w/v) or subcutaneous injection of (+)salbutamol (1 mg/kg), immediately prior to inhalation of ovalbumin. Following subcutaneous infusion of (+)salbutamol (1 mg/kg, $n=10$) for 5 days, increased obstruction of the airways during inhalation or intravenous injection of ovalbumin was evident, which could account for death in such animals. Whether an increased incidence of neutrophils in the airway lumen observed 24 hours after inhalation of salbutamol (Boubekur et al., 1989) contributed to the observed increase in airway reactivity has yet to be determined.

The capacity of (+)isoprenaline to induce airway hyperreactivity has been reported previously (Sanjar et al., 1990) and provides a plausible mechanism to account for the epidemic of asthma deaths twenty years ago (Speizer et al., 1968). In light of contemporary clinical evidence that bronchodilator therapy can be associated with enhanced airway reactivity, the pharmacology of (+)salbutamol and other (+)isomers of substituted catecholamines merits clinical investigation.

Anonymous (1990) *Lancet*, 336, 1411-1412.

Boubekur, K., Aoki, S., Anderson, G., Sanjar, S. and Morley J. (1989) *Eur. Resp. J.*, 2, 755 S.

Sanjar, S., Kristersson, A., Mazzoni, L. et al. (1990) *J. Physiol.*, 425, 43-54.

Speizer, F.E., Doll, R. and Heaf, P. (1968) *Br. Med. J.*, 1, 335-339.

296P NITRIC OXIDE AND ACETYLCHOLINE HYPERPOLARIZE SMOOTH MUSCLE CELLS IN THE RAT SMALL MESENTERIC ARTERY BY DIFFERENT MECHANISMS

C.J. Garland & G.A. McPherson The Baker Medical Research Institute, Commercial Road, Prahran, Victoria 3181, Australia

Acetylcholine and related cholinomimetics stimulate endothelium-dependent hyperpolarization and relaxation in arterial smooth muscle cells (Bolton et al., 1984; Taylor & Weston, 1988; McPherson & Angus, 1991). The differential sensitivity of the hyperpolarization and relaxation to various blocking agents has led to the suggestion that these events are mediated by separate endothelium-derived factors (Taylor & Weston, 1988). Recently, Fare & co-workers (1990) have demonstrated that nitric oxide, which appears to be or is closely related to EDRF, can stimulate smooth muscle hyperpolarization as well as relaxation, implying a role for nitric oxide in the endothelium-dependent hyperpolarization to acetylcholine. The present study investigated and compared the responses to both acetylcholine and nitric oxide in the rat mesenteric artery in a myograph.

Smooth muscle cells in isolated segments of rat small mesenteric artery had a resting potential around -57mV. Both acetylcholine and nitric oxide stimulated concentration-dependent hyperpolarization. The hyperpolarization to acetylcholine was endothelium-dependent, and increased the membrane potential to around -67mV. If the artery was first exposed to noradrenaline (1-3µM), the smooth muscle cells contracted, and were depolarized to -35mV. Acetylcholine again hyperpolarized the membrane to around -67mV with the highest concentration tested (3µM) and in addition, reversed the contraction by over 90%. Both the hyperpolarization and the relaxation were unaffected by the presence of glibenclamide (3µM). Nitric oxide (0.1-1mmole), applied either as a gas in solution or released from acidified sodium nitrite, produced a transient hyperpolarization of the resting membrane potential which varied between 3 and 9mV. Unlike acetylcholine, the hyperpolarization was abolished by prior smooth muscle depolarization in the presence of noradrenaline, although at this time nitric oxide stimulated marked smooth muscle relaxation. Glibenclamide (3µM) reversibly blocked the hyperpolarization of the resting membrane potential which occurred in response to nitric oxide.

These data show that the smooth muscle hyperpolarizations to acetylcholine and nitric oxide are induced in different ways. The voltage-dependent block of hyperpolarization to nitric oxide suggests the involvement of inwardly-rectifying potassium channels, which because of their sensitivity to glibenclamide may be ATP-dependent.

CJG was supported by a Wellcome-Ramaciotti Travel Fellowship.

Bolton, T.B., Lang, R.J. & Takewaki, T. (1984). *J. Physiol.* 351, 549-572.

McPherson, G.A. & Angus, J.A. (1991). *Brit. J. Pharmacol.* 103, 1184-1190.

Fare, M., Parkinson, H.C., Coleman, H.A., Neild, T.O. & Dusting, G.J. (1990) *Nature* 346, 69-71.

Taylor, S.G. & Weston A.H. (1988). *Trends. Pharmacol. Sci.* 9, 272-274.

EXHIBIT 2

DLEV012149

LDIN TYPE

SANTOZ INC. MARLBORO

215 351 1884

TF Albuterol P.218

Racemic mixtures at root of worsening symptoms? Active enantiomers may cause adverse effects in asthma

In a recent discussion in TIPS¹, of mechanisms whereby β_2 -adrenoceptor-selective sympathomimetic drugs might worsen asthma symptoms, Barnes and Chung make no mention of the possibility that enantiomers of these racemic mixtures might be culpable. Isoprenaline, salbutamol, salmeterol and terbutaline have one chiral centre and are racemic mixtures of two enantiomers, with β_2 -adrenoceptor agonist activity residing in the α -enantiomers. Fenoterol and formoterol have two chiral centres, giving rise to two possible diastereomers each having two enantiomers and, although marketed as single diastereomers, they are racemic mixtures of the α,α - and β,β -enantiomers.

Although it is generally accepted that the activity of a single enantiomer accounts for the biological effects of sympathomimetics, potent biological properties, unrelated to adrenoceptor occupancy,

are documented. For instance, racemic tretoquinol not only relaxes airway smooth muscle but is also a potent inhibitor of platelet activation. Relaxation of guinea-pig trachea can be attributed to the $(-)$ - α -enantiomer ($pD_2 = 7.10$) rather than the $(+)$ - α -enantiomer ($pD_2 = 5.54$), whereas inhibition of human platelet aggregation by the thromboxane A_2 mimetic U46619 is a property of $(+)$ - α -tretoquinol ($IC_{50} = 0.99 \pm 0.02 \mu M$) rather than $(-)$ - α -tretoquinol ($IC_{50} = 39.6 \pm 4.3 \mu M$).

The capacity of sympathomimetics to facilitate sudden death in response to inhaled allergen or airway spasmogens in the guinea-pig is long established². In studying the mechanism whereby salbutamol increases susceptibility of the sensitized guinea-pig to airway spasmogens, we noted that intravenous infusion of $(+)$ - α -salbutamol induces airway hyper-reactivity to leukotriene C_4 (Ref. 6) by a mechanism closely analogous

© 1997 Elsevier Science Publishers Ltd (UK) 0954-6820/97/0000-0000

232

to that detailed for $(+)$ - α -isoprenaline (i.e. unaffected by racemic propranolol but prevented by vagal section).

More recently, we have observed that intratracheal instillation of α -isoprenaline, α -salbutamol and α -terbutaline are similarly efficacious in evoking increased airway responsiveness to intravenous injection of histamine in the anaesthetized guinea-pig. Such observations demonstrate that enantiomers of sympathomimetics are not inert and hence may contribute to adverse effects of the type discussed by Barnes and Chung. It has long been recognized that use of sympathomimetics for asthma therapy is

associated with a range of inconsistent, or frankly paradoxical, effects³. Rather than adding further material (i.e. glucocorticosteroids) to existing products as proposed, our findings indicate that it may be prudent to remove enantiomers that were previously thought to be biologically inert.

I. D. CHAPMAN, K. H. BUCHHEIT,
P. MANLEY AND J. MORLEY

Preclinical Research, Sandoz Pharma Ltd,
CH-4002 Basel, Switzerland.

References

- 1 Barnes, P. J. and Chung, K. F. (1992) *Trends Pharmacol. Sci.* 13, 20-23
- 2 Fedyna, J. E., Adejare, A., Miller, D. D.

and Feller, D. R. (1987) *Err. J. Pharmacol.* 135, 161-171

- 3 Abu, C. H., Romstedt, K. J., Wallace, L. J., Miller, D. D. and Feller, D. R. (1988) *Biochem. Pharmacol.* 37, 3023-3030
- 4 Conolly, M. E., Davies, D. S., Doherty, C. T. and George, C. F. (1971) *Br. J. Pharmacol.* 43, 389-402
- 5 Chapman, I. D., Mazzoni, L. and Morley, J. (1990) *Br. J. Pharmacol.* 99, 86P
- 6 Morley, J., Chapman, I. D., Foster, A., Hoshino, K. and Mazzoni, L. (1991) *Br. J. Pharmacol.* 104, 295P
- 7 Sanjar, S., Kristianson, A., Mazzoni, L., Morley, J. and Schaeublin, E. (1990) *J. Physiol.* 425, 43-54
- 8 Conolly, M. E., Hui, K. K., Dorn, S. E. and Jaffe, J. W. (1987) In *Drug Therapy for Asthma* (Jenne, J. W. and Murphy, S., eds), pp. 259-296, Marcel Dekker Inc.

U46619: 9,11-dideoxy-11 α -epoxymethano-prostaglandin $F_{2\alpha}$

TIPS 13 231-232 (1992)

EXHIBIT 3

DLEV012150

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☒ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

DOCKET NO. SPC89-05'



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Timothy J. Barberich and James W. Young

Serial No.: 07/896,725

Group Art Unit: 1205

Filed: June 9, 1992

Examiner: L. Schenkman

Title: METHOD FOR TREATING ASTHMA USING OPTICALLY
PURE R(-) ALBUTEROL

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being
deposited with the United States Postal Service as First
Class Mail in an envelope addressed to Honorable
Commissioner of Patents and Trademarks, Washington,
D.C. 20231 on 2/10/93
Hamilton, Brock, Smith & Reynolds, P.C.

A. J. Hennit
Signature

2/10/93
Date

93 MAR -9 AM 6:31

DECLARATION

To: Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

I, Gunnar Aberg, declare:

THAT I am a citizen of Sweden and a resident of the Town
of Westborough, Worcester County, Massachusetts;

THAT I am Vice-President of Research and Development,
Pharmaceutical Division, Sepracor, Inc., Marlborough,
Massachusetts. From 1968 to 1973 I was Director of
Pharmacology at Bofors-Nobel Pharma, from 1974 to 1978 I was
Group Leader in General Pharmacology at AB Haessle; from 1978
to 1980, I was Director of Pharmacology at Astra
Pharmaceuticals, from 1980 to 1982 I was Director of

DLEV012152

Cardiovascular Pharmacology at Ciba-Geigy; and from 1982 to 1988 I was Director of Pharmacology, and from 1988 to 1992 Executive Director of Pharmacology, at Bristol-Myers Squibb;

That I am a graduate of the University of Linköping, Sweden from which I hold a Ph.D. in Pharmacology and of the University of Göteborg, Sweden from which I hold a Ph.D. in Zoophysiology, and that I am an Associate Professor in Applied Pharmacology at the University of Linköping, Sweden;

That I have twenty-eight years' industrial experience in the area of pharmacology research;

That I am an author of 86 articles on pharmacology, including eight articles on adrenergic β -blockers and β -agonists and that I am an inventor on seven U.S. patents and 6 pending U.S. applications and that I have made numerous presentations before professional societies on the subject of adrenergic drugs;

That I have reviewed carefully the Office Action dated August 10, 1992 in the above case. I have also reviewed the application in the above case and the art cited by the examiner in his rejection, namely Chemical Abstracts 89:123259m (1978), Brittain et al., Harley et al., Hawkins, et al. and Buckner et al.; and as a result of my review and general knowledge of the subject area, I make the following analysis:

The Chemical Abstracts reference teaches that racemic albuterol may be used to treat asthma, but there is no teaching in the reference that would motivate one skilled in the art to go to the considerable trouble and expense of isolating and administering either enantiomer.

Brittain et al. show that both enantiomers and the racemic mixture of albuterol are very selective for β_2 receptors, but the isomeric activity ratio of R and S albuterol on isolated tracheal muscle (β_2) vs atrial muscle (β_1) is "impossible to calculate...because the isomers are virtually inactive on this tissue." R(-) and racemic albuterol inhibited acetylcholine-induced bronchospasm in

anesthetized guinea pigs at dose-levels of 2.5 to 100 $\mu\text{g/kg}$. The corresponding figure for S(+) albuterol was 50 to 5000 $\mu\text{g/kg}$, indicating, as expected, a lower potency of the S-isomer. No difference was reported between the effects of R(-) and R,S albuterol in the anesthetized guinea pig. The potency ratio of R(-) vs racemic albuterol could be calculated when the compounds were tested in a model of acetylcholine-enhanced pulmonary resistance in the dog, and indicated that the R(-)-isomer was approximately twice as potent as the racemate. On the isolated guinea pig trachea, Brittain et al. found R-albuterol to be approximately equipotent with the racemate (table 1; page 146). Thus, from a study of the Brittain et al. reference I have not been able to conclude anything definitive regarding either (1) the selectivity of the R isomer vs the racemate, or (2) the relative potencies of the two compounds.

Hartley and Middlemiss teach that both isomers and the racemic mixture of albuterol act on β_2 receptors rather than β_1 receptors. The effects of the R isomer and the racemic mixture are equiactive on β_2 receptors of the intact guinea pig trachea; indeed, it can be calculated from the reported data that the racemate is 1.5 times as potent as the R(-) isomer. There is no clear teaching with regard to selectivity between β_1 and β_2 for the two isomers and the racemate, because the ratio of trachea vs left atrium activity is roughly the same for the R isomer and for the racemate, and the ratio of trachea to right atrium shows a better ratio for the R isomer but partial agonist activity for the R isomer and not for the racemate. Thus, no conclusion can be drawn from Hartley and Middlemiss as to whether the R isomer would enjoy any advantage over racemic albuterol in terms of side effects.

Hawkins and Klease characterize the study of Hartley and Middlemiss by stating that Hartley reported that racemic albuterol was 1.5 times as active as the minus enantiomer. In their studies, Hawkins and Klease found that the R enantiomer was approximately twice as potent as the racemate. They did

not examine any tissue other than guinea pig trachea so that no conclusion relating to relative selectivity could be drawn. Thus if one ignored the teachings of Brittain et al. and particularly of Hartley et al., one could interpret the Hawkins publication to disclose a small potency advantage for the R isomer. On a theoretical basis if the S isomer were totally inactive, the racemate (being a 50-50 mixture) should have a theoretical potency of about 50% that of the R isomer; Hawkins' results would be consistent with that hypothesis.

The study by Buckner and Abel examines the ratio of activity of the R and S isomers of albuterol in guinea pig atria and guinea pig trachea. They concluded "even though the potencies of single isomers may differ as much as twenty-four fold between atria and trachea, the stereoselectivity for production of activity is the same." That is, the selectivity, as measured by the ratio of tracheal to atrial activity, is the same for the two isomers. Buckner did not examine racemic albuterol so no conclusion can be drawn as regards any potency advantage of a single pure R isomer vs the racemate.

The combined teachings of all of the foregoing references provide little clear direction. If one ignores Hartley and one of Brittain's experiments, with the intention of selectively extracting from the references any advantage associated with the R isomer, it appears that the R isomer may enjoy a theoretical two-fold potency advantage over the racemate. However, as a practical matter, even were this the case, it would not motivate a person of scientific skill and experience in the pharmaceutical industry to prepare and administer the pure R isomer instead of the racemate. This is because a process for the resolution of racemic albuterol would inevitably produce R albuterol in less than 50% yield, whereas the use of the racemic albuterol would, at worst, provide 50% of the potency of the pure R. Thus there is little to be gained by resolving the racemate.

As regards the question of diminution of side effects of

R-albuterol vs racemic albuterol, there is no clear teaching in any of the references that R-albuterol would enjoy an advantage over racemic albuterol on the basis of its selectivity between β_1 and β_2 receptors.

In the instant application, Barberich and Young disclose an unexpected diminution in side effects when the pure R isomer of albuterol is administered. Side effects of drugs that have a predominant β_2 agonist component can arise from four presently recognized and well characterized receptor interactions: (a) non-adrenergic effects; (b) interaction of the β -agonist with α -receptors; (c) interaction of the β_2 agonist with β_1 receptors; and (d) interaction of the β_2 agonist with β_2 receptors. The interactions of these drugs with β_2 receptors (the adipocyte β -receptors) have not been well defined and are therefore not discussed in this declaration. Non-adrenergic effects can be triggered by interaction with any of the hundreds of other receptors and by non-receptor interactions, and they can originate from portions of the drug molecule outside the β_2 pharmacophore. They are, for this reason, difficult to predict or screen for. Interaction of β -agonists with α -receptors are known in epinephrine but are not of clinical significance in agonists like albuterol. Interaction of β_2 agonists with β_1 -receptors, causing pulmonary agents to exhibit cardiac side effects, is well documented for isoproterenol and has been discussed above for albuterol. The literature cited in the office action provides no evidence for an advantage of either enantiomer of albuterol on the basis of β_2 vs β_1 specificity.

Interaction of β_2 -agonists at β_2 -receptors can give rise to tachyphylaxis and perhaps to sensitization in addition to the desired bronchodilation. While well documented, these effects are only recently beginning to be understood. Tachyphylaxis appears to arise from mechanisms that are subsequent to the receptor-ligand interaction. [See Strasser et al. Adv. Exp. Med. Biol. 231, 503-517 (1988)]

-6-

Docket No. SPC89-05'

The recent publications of Morley et al. [Brit. J. Pharmacol. 104, Supp. 295P (1991)] and Chapman et al. [Trends in Pharmacological Science 12 231-232 (1992)], which I have also reviewed, provide newly available support for applicants' disclosure in this respect. The Morley and Chapman references disclose that the S(+) isomer in bronchial tissue causes a hypersensitivity to allergen. This hypersensitivity is not usually observed in acute administration because the bronchodilator effect of the R enantiomer masks the hypersensitivity. However, on subchronic treatment with racemic albuterol Morley et al. were able to detect the hypersensitivity. They concluded from their experiments that the desired bronchodilator effect was prone to tachyphylaxis while the undesirable hypersensitivity is less prone to tachyphylaxis. Indeed, in the Chapman et al. paper the authors recommend that it may be prudent to remove enantiomers that were previously thought to be biologically inert. Their results support a previously undisclosed advantage to the use of pure R enantiomer in that the side effect of paradoxical hypersensitivity is likely to be ameliorated.

I further declare that all statements of the foregoing declaration made of my own knowledge are true and that those made upon information and belief are believed true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Signed by me this 8th day of February, 1993.


Gunnar Aberg

DLEV012157

DOCK ROOM

SPC89-05

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Timothy J. Barberich and James W. Young

Serial

07/896,725

Group Art Unit: 1205

Filed:

June 9, 1992

Examiner: L. Schenkman

For: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE R(-) ALBUTEROL

PETITION FOR EXTENSION OF TIME

The Honorable Commissioner
of Patents and Trademarks
Washington, D. C. 20231

Sir:

The undersigned attorney petitions the Commissioner of Patents and Trademarks to extend the time for filing a Response to the Office Action dated August 10, 1992 for 3 month(s) from November 10, 1992 to February 10, 1993.

Small Entity

1 month -	\$ 55
2 months -	\$ 180
3 months -	<u>X</u> \$ 420
4 months -	\$ 660

Other than
Small Entity

	\$ 110
	\$ 360
	\$ 840
	\$ 1,320

☒ Enclosed is a check in the amount of \$ 420.00 to cover the cost of the extension.

☐ Please charge Deposit Account No. 08-0380 in the amount of \$ to cover the cost of the extension fee.

Any deficiency or overpayment should be charged or credited to Deposit Account No. 08-0380. Two duplicate copies of this letter are enclosed.

Respectfully submitted,

Richard W. Wagner

Richard W. Wagner

Agent for Applicant(s)

Registration No. 34,480

Tel. (617) 861-6240

100 MG 03/05/93 07896725
Lexington, Massachusetts 02173

1 217 420.00 CK

Dated: February 10, 1993

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 2/10/93.

Kernan, Brock Smith & Reynolds, PC

Signature

Date

93 MAR - 9 AM 6:31

DLEV012158


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

 Address : COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
077896,725	06/09/92	BARBARICH	T SPC85 05

 PATRICIA GRANAHAN
 HAMILTON, BROOK, SMITH & REYNOLDS
 TWO MILITIA DRIVE
 LEXINGTON, MA 02173

12M1

EXAMINER	
SCHENKMAN, L	
ART UNIT	PAPER NUMBER
125	20

DATE MAILED: 1285

03/03/93

NOTICE OF ABANDONMENT

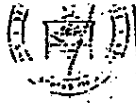
This application is abandoned in view of:

1. ☐ Applicant's failure to respond to the Office letter, mailed 8/10/92.
2. ☐ Applicant's letter of express abandonment which is in compliance with 37 C.F.R. 1.138.
3. ☐ Applicant's failure to timely file the response received _____ within the period set in the Office letter.
4. ☐ Applicant's failure to pay the required issue fee within the statutory period of 3 months from the mailing date of _____ of the Notice of Allowance.
 - ☐ The issue fee was received on _____.
 - ☐ The issue fee has not been received in Allowed Files Branch as of _____.

In accordance with 35 U.S.C. 151, and under the provisions of 37 C.F.R. 1.316(b), applicant(s) may petition the Commissioner to accept the delayed payment of the issue fee if the delay in payment was unavoidable. The petition must be accompanied by the issue fee, unless it has been previously submitted, in the amount specified by 37 C.F.R. 1.17 (f), and a verified showing as to the causes of the delay.

If applicant(s) never received the Notice of Allowance, a petition for a new Notice of Allowance and withdrawal of the holding of abandonment may be appropriate in view of *Delgar Inc. v. Schuyler*, 172 U.S.P.Q. 513.
5. ☐ Applicant's failure to timely correct the drawings and/or submit new or substitute formal drawings by _____ as required in the last Office action.
 - ☐ The corrected and/or substitute drawings were received on _____.
6. ☐ The reason(s) below.

Leonard Schenkman
 LEONARD SCHENKMAN
 EXAMINER
 ART UNIT 125



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
07/896,725	06/09/92	BARBARICH	

PATRICIA GRANAHAN
HAMILTON, BROOK, SMITH & REYNOLDS
TWO MILITIA DRIVE
LEXINGTON, MA 02173

12M1

SCHENKMAN, MI

PAPER NUMBER

21

DATE MAILED:

1205

03/18/93

Please find below a communication from the EXAMINER in charge of this application.

Commissioner of Patents.

The holding of Abandonment mailed Mar. 3, 1993 has
been withdrawn.

The application has been returned to pending status.

The error is regretted.

Dorance R. Pittman

PATENT APPLICATION
DOC. NO. SPC89-05

MAIL ROOM
MAR 19 1993
U.S. TRADEMARK OFFICE

Applicant(s): Timothy J. Barberich and James W. Young

93 MAR 29 AM 7:38

Serial No.: 07/896,725

Group Art Unit: 1205

Filed: June 9, 1992

Examiner: L. Schenkman

For: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE R(-) ALBUTEROL

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

February 10, 1993

B. G. [Signature]
Signature

February 10, 1993
Date

The Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Sir:

Transmitted herewith is a response in the above-identified application.

☒ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)	(COL. 4)	(COL. 5)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	* 11	MINUS	** 21	0
INDEP	* 3	MINUS	*** 3	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY	
RATE	ADDIT. FEE
x 11	\$ 0
x 37	\$ 0
+115	\$

OR

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
x 22	\$
x 74	\$
+230	\$

TOTAL = \$ 0

\$

DLEV012161

-2-

- ☐ Please charge my Deposit Account No. 08-0380 in the amount of \$_____.
- ☐ A check in the amount of \$_____ is attached.
- ☒ A separate Petition for Extension of Time is being filed concurrently herewith.
- ☒ Payment for the extension fee is included with the petition.
- ☐ Deposit Account No. 08-0380 is being charged for the extension fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-0380.
- ☒ Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.

Any extensions of time that are required to maintain this application in a pending status, if not included herewith, are hereby requested. The Commissioner is hereby authorized to charge such extension fees to Deposit Account No. 08-0380. Two copies of this transmittal letter are enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Richard W. Wagner

Richard W. Wagner
Registration No. 34,480
Agent for Applicant(s)
(617) 861-6240

Dated: Feb. 10, 1993

A:AMFEE:FOR

DLEV012162



RWW/PG/bjn 2/10/93

This will acknowledge receipt of a PETITION FOR EXTENSION OF TIME (three-month) with Certificate of Mailing and two copies and check for \$420.00, for:

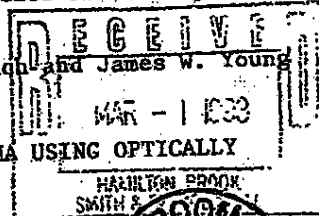
Applicants: Timothy J. Barberich and James W. Young

Serial No.: 07/896,725

Filed: June 9, 1992

For: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE R(-) ALBUTEROL

Docket No.: SPC89-05'



Date received in the PTO:



DLEV012163

SPC2905 STA
HL1:WP
HL:kd
03/15/93



12x
Schenkman

PATENT APPLICATION
Docket No. SPC89-05'

430/31
3-31-93

93 MAR 29 AM 7:38

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Timothy J. Barberich and James W. Young

Serial No.: 07/896,725

Group Art Unit: 1205

Filed: June 9, 1992

Examiner: L. Schenkman

Title: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE
R(-) ALBUTEROL

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being
deposited with the United States Postal Service as First
Class Mail in an envelope addressed to Honorable
Commissioner of Patents and Trademarks, Washington,
D.C. 20231 on 3/15/93
Hamilton, Brock, Smith & Reynolds, P.C.

B. J. Harris
Signature

3/15/93
Date

STATUS INQUIRY

The Honorable Commissioner
of Patents and Trademarks
Washington, DC 20231

ATTENTION: Box Non-Fee Amendment

Sir:

Please provide the below named Patent Agent with the
current status of the above-identified patent application.
Amendment C with the accompanying Declaration by Gunnar
Aberg and a Petition for Extension of Time were mailed to
the Patent Office on February 10, 1993 in response to the

DLEV012164

-2-

Office Action mailed from the Patent Office on August 10, 1992. The returned postcard receipts indicate that these items were received at the Patent Office on February 16, 1993. However, a Notice of Abandonment, mailed from the Patent Office on March 3, 1993, was received for the above-referenced application. Thus, it appears that the above Amendment, Declaration and Petition for Extension of Time were not present in the Application when the Notice of Abandonment was mailed.

Your attention to this matter is appreciated.

Copies of the postcard receipts, Amendment, Declaration and Petition for Extension of Time are enclosed.

Respectfully submitted,

Richard W. Wagner

Richard W. Wagner

Registration No. 34,480

Agent for Applicants

Lexington, MA 02173

Dated: *March 15, 1993*

DLEV012165

SPC89-05'
RWW13
2/10/93

PATENT APPLICATION
Docket No. SPC89-05'

93 MAR 29 AM 7:38

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Timothy J. Barberich and James W. Young

Serial No.: 07/896,725

Group Art Unit: 1205

Filed: June 9, 1992

Examiner: L. Schenkman

Title: METHOD FOR TREATING ASTHMA USING OPTICALLY
PURE R(-) ALBUTEROL

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being
deposited with the United States Postal Service as First
Class Mail in an envelope addressed to Honorable
Commissioner of Patents and Trademarks, Washington,
D.C. 20231 on 2/10/93
Hamilton, Brock, Smith & Reynolds, P.C.

B. J. Kennis

Signature

2/10/93

Date

AMENDMENT C

The Honorable Commissioner
of Patents and Trademarks

Washington, D.C. 20231

Dear Sir:

This is in response to the official action of August 10, 1992, which in view of the petition for a three month extension of time submitted herewith, requires response by February 10, 1993.

Please amend the application as follows:

In the Claims:

Please cancel claims 9, 13 and 14 and substitute therefor new claims 15, 16, 17 and 18.

DLEV012166

-2-

15. A pharmaceutical composition comprising:
 - (a) a first component consisting of an antiasthmatically effective amount of albuterol, said albuterol consisting of about 90 to 100% by weight of its R(-) isomer; and
 - (b) a second component consisting of a physiologically effective amount of a drug selected from the group consisting of bronchodilators, antihistamines and analgesics.
16. A composition according to claim 15 wherein said second component is an antiasthmatically effective amount of theophylline or terbutaline.
17. A composition according to claim 15 wherein said second component is an analgesically effective amount of a drug selected from the group consisting of aspirin, acetaminophen and ibuprofen.
18. A composition according to claim 15 wherein said albuterol is greater than 99% by weight R-albuterol.

Remarks

The claims have been amended to include the amount (in functional terms) of the components to be included and to clarify the proportion of albuterol that is present as its R-isomer. Support for claim 16 is found on page 5, line 14; support for claim 17 is found on page 5, line 15 to line 16. Claim 18 replaces former claim 14 and makes it properly dependent on newly introduced claim 15.

Claims 1 to 6, 8, 9, 13 and 14 were presented in the application as filed. Claims 9, 13 and 14 have been cancelled and claims 15 through 18 have been added. Claims 1 to 6, 8 and 15 to 18 are therefore presently pending in the application.

DLEV012167

-3-

Claims 1 to 6 and 8 stand rejected under 35 U.S.C. 103 as obvious over Chemical Abstracts. Claims 1 to 5 stand further rejected under 35 U.S.C. 103 as unpatentable over Brittain et al., Hartley et al., Hawkins et al. and Buckner et al. Claims 6 and 8 stand further rejected under 35 U.S.C. 103 as unpatentable over the latter four references in view of Chemical Abstracts. These rejections are traversed, and reconsideration is requested, for the following reasons:

The thrust of applicants' invention is the treatment of asthma while reducing the side effects associated with the administration of racemic albuterol. Side effects of drugs which, like albuterol, have a predominant β_2 agonist component, can arise from four presently recognized interactions, as discussed in the declaration under 37 C.F.R. 1.132 by Dr. Gunnar Aberg submitted herewith and rephrased below:

- (a) non-adrenergic effects (there is no evidence for this among the references cited in the present case);
- (b) interaction of the β -agonist with α receptors; (Second generation β -agonists like albuterol are relatively free of this problem.)
- (c) interaction of the primarily β_2 -agonist drug with β_1 receptors; and
- (d) interaction of β_2 -agonists with β_2 receptors giving rise to tachyphylaxis and perhaps to sensitization and CNS effects such as excitement and hyperkinesia.

Tachyphylaxis in response to albuterol has been demonstrated in airways [See Passowicz Muszynska Index Medicus Abstr. 91164287 (1991) (Attachment A); and Pauwels Index Medicus Abstr. 86051970 (1986)] (Attachment B). Sensitization has likewise been reported [See Chapman et al. Brit. J. Pharmacol. 99, 66P (1990)] (Attachment C). The mechanisms of these side effects are not clear and may not be the same.

The Brittain, Hartley, Hawkins and Buckner references all address the comparative interaction of albuterol isomers with β_1

DLEV012168

-4-

vs. β_2 receptors, a type (c) interaction according to the definition above. Three of these references show that there is perhaps some slight potency advantage to the use of pure R(-) albuterol vs. racemic albuterol (although Hartley shows a potency advantage to racemic albuterol), but none shows that there is any β -selectivity advantage to R over S or over racemic. On the contrary, Buckner concluded that the ratios of tracheal (β_2) to atrial (β_1) activities of R and S are indistinguishable. Side effects that are based on type (c) interactions arise from differences in receptor selectivity, and the person of ordinary skill would conclude from the teachings of these four references that there is no advantage of R over racemic in terms of expected amelioration of side effects. The Aberg Declaration establishes that the references by Brittain, Hartley, Hawkins and Buckner do not teach any expectation of decreased side effects from the administration of the pure R isomer as compared to the racemate.

Thus, at the time of filing of applicants' parent application (1/5/90), there were no teachings among the references cited that would motivate a person of ordinary skill to administer the pure R(-) isomer of albuterol for the treatment of asthma on the basis of its receptor selectivity.

What about potency? Even though applicants' disclosure does not relate to potency, does the art nonetheless encourage the person of ordinary skill to resolve and administer pure R albuterol on the basis of potency? Unless one pure enantiomer antagonizes the effects of the other, the theoretical advantage of a pure enantiomer is at most two-fold. A racemate, being a 50:50 mixture, simply acts like half a dose of the pure enantiomer and half a dose of filler. Because chemical resolution of racemic mixtures is never 100% efficient, a resolution will always yield less than 50% of the single isomer. Thus, unless one enantiomer antagonizes the effect of the other, there is no reason to suffer the loss of material attendant upon their resolution. For example, it has been known for years that

DLEV012169

-5-

the activity of metoprolol as a β - blocker resides in its S isomer, but no one has ever marketed pure S-metoprolol because there has been no motivation to go to the trouble of removing the R isomer.

A potency ratio significantly greater than 2 between a single enantiomer and its racemate would be consistent with antagonism by one enantiomer and would provide motivation for resolving the racemate. No such teaching is found in any of the references. Choosing the single most optimistic experimental result from among the results of three tissues in only one of the four references, one may derive a 2.3 fold potency ratio for a single (R) isomer vs racemate. This falls in the range described above for "active isomer plus filler" and provides no motivation to undertake a separation of isomers. And these are the most encouraging data selected by hindsight reconstruction; the rest of the references, taken together, fairly suggest no clear preference of one isomer. Therefore, at the time of filing, the art did not suggest using pure R(-) albuterol either for lessened side effects or for potency enhancement. This conclusion is supported by the Declaration of Dr. Aberg. (The articles referred to by Dr. Aberg which have not been previously cited in this Application are included with the Declaration of Dr. Aberg as Exhibits 1, 2 and 3.)

Applicants disclose an unexpected diminution in side effects when the pure R isomer is administered. In support of this, applicants now cite two publications by the group of Morley and Chapman which appeared subsequent to the filing of the application: Morley, Chapman et al. Brit. J. Pharmacol. 104 Suppl, 295P (1991) and Chapman et al. Trends in Pharmacol. Sci. 13 231-232 (1992). The significance of their disclosures is discussed in the Declaration by Dr. Aberg and copies are enclosed for the convenience of the Examiner as Exhibits 2 and 3. In these papers, the first of which was presented at a conference in September 1991, Morley et al. address the question of a distinction between a single enantiomer and racemic albuterol in

DLEV012170

-6-

a type (d) interaction, thus supporting the concept of lessened side effects by the administration of pure R isomer.

The Morley and Chapman references disclose that the S(+) isomer in bronchial tissue causes a hypersensitivity to allergen. The authors conclude from their experiments that the desired bronchodilator effect (due to the R isomer) is prone to tachyphylaxis, while the undesired hypersensitivity (due to the S isomer) is less prone to tachyphylaxis. The authors state "It has long been recognized that use of sympathomimetics for asthma therapy is associated with a range of inconsistent or frankly paradoxical effects....our findings indicate that it may be prudent to remove enantiomers that were previously thought to be biologically inert." (Chapman et al. p. 232) Thus, the use of the pure R isomer is concluded to provide unexpected advantages. Applicants' disclosure of removing the S isomer so as to reduce side effects, and claims directed thereto, dating to at least January 1990 are novel and nonobvious -- particularly as evidenced by the subsequent Morley and Chapman publications.

For the foregoing reasons the rejections of claims 1-6 and 8 under 35 U.S.C. 103 are believed overcome. Reconsideration and withdrawal of the rejections are requested.

Claims 9, 13 and 14 which had been rejected under 35 U.S.C. 112 are now cancelled. Claim 15, which replaces claim 9, now clarifies that the pharmaceutical composition comprises from 90 to 100% of the R isomer. The Examiner had also asserted that former claims 9, 13 and 14 were too broad, absent recitation of amounts of ingredients. The claims have been amended to incorporate in functional terms the amounts of the ingredients. That such functional language is definite, allowable and common practice in the pharmaceutical art is illustrated in U.S. patents 4,975,426, claim 1, 4,923,898, claim 1 and 5,025,019, claim 1, copies of which are included for the convenience of the Examiner as attachments D, E and F, respectively. The rejections under 35 U.S.C. 112 are therefore believed overcome, and reconsideration and withdrawal is requested.

DLEV012171

-7-

There being no further issues the application is believed in condition for allowance and such is requested.

Respectfully submitted,

Richard W. Wagner

Richard W. Wagner
Agent for Applicants
Registration No. 34,480

Lexington, MA 02173

Dated: February 10, 1993

DLEV012172

F

3/5/4

07645287 91164287

[Effect on beta adrenergic receptors of tachyphylaxis on the sensitivity of smooth muscle in the bronchi to beta adrenergic receptor agonists in bronchial asthma]

Wpływ tachyfilaksji beta-adrenergicznych receptorów na wrażliwość ścisni gładkich oskrzeli na agoniste receptorów beta-adrenergicznych w łychawicy oskrzelowej.

Passowicz-Muszyńska E

Katedry i Kliniki Chorob Wewnętrznych AM we Wrocławiu.

Pol Tyg Lek Jul 16-30 1990, 45 (29-31) p608-11, ISSN 0032-3756.

Journal Code: PBY

Languages: POLISH Summary Languages: ENGLISH

Document type: JOURNAL ARTICLE English Abstract

JOURNAL ANNOUNCEMENT: 9106

Subfile: INDEX MEDICUS

The study involved 30 subjects: 15 healthy individuals and 15 patients with atopic bronchial asthma of the moderate degree. Salbutamol was administered to asthmatic patients in the intravenous infusion for 7 days. beta-adrenergic receptor density in the lymphocytes and FEV1 were evaluated before and after therapy. Moreover, isoprenaline test was carried out to evaluate the sensitivity of the bronchial smooth muscle to beta-agonist. The test was performed prior to and after salbutamol therapy. It was found that beta-receptor agonist statistically significantly decreases beta-adrenergic receptor density. Equivalently, bronchial smooth muscle is less sensitive to beta-agonist in the same degree as a decrease in beta-adrenergic receptor density in the peripheral blood lymphocytes.

Tags: Female; Human; Male

Descriptors: *Albuterol--Therapeutic Use--TU; *Asthma--Drug Therapy--DT; *Bronchi--Drug Effects--DE; *Muscle, Smooth--Drug Effects--DE; *Receptors, Adrenergic, Beta--Drug Effects--DE; *Tachyphylaxis--Physiology--PH; Adolescence, Adult; Asthma--Physiopathology--PP; Lymphocytes--Drug Effects--DE

CAS Registry No.: 0 (Receptors, Adrenergic, Beta); 18559-94-9 (Albuterol)

ATTACHMENT A

DLEV012173

G

3/5/18

05750970 86051970

[Effect of corticosteroids on the action of sympathomimetics]

Influence des corticostéroïdes sur l'action des sympathicomimétiques.

Pauwels R

Bull Eur Physiopathol Respir Sep-Oct 1985, 21 (5) p53s-55s, ISSN

0395-3890 Journal Code: BGX

Languages: FRENCH Summary Languages: ENGLISH

Document type: JOURNAL ARTICLE; REVIEW English Abstract

JOURNAL ANNOUNCEMENT: 8603

Subfile: INDEX MEDICUS

Corticosteroids restore the bronchial responsiveness to beta-adrenergic

stimulants in man. This has been shown both in severe asthmatic patients and in normal subjects, rendered insensitive by artificial means. On the contrary, in patients with bronchial asthma who have airways reactive to beta-adrenergic stimulants, the combination of corticosteroids and sympathicomimetics results in an additive effect of their bronchodilating capacity. Animal models, both in vivo and in vitro, show the same type of interaction between corticosteroids and beta-adrenergic stimulants. The mechanism by which corticosteroids restore the bronchial sensitivity to beta-adrenergic stimulation is not completely understood. Several mechanisms may be involved such as increased agonist binding, decreased receptor turn-over, increased uncoupling between receptor and adenylylase, decreased extraneuronal uptake, decreased COMT-activity. The relevance of the influence of corticosteroids on the metabolism of membrane phospholipids remains highly speculative. (15 Refs.)

Tags: Human

Descriptors: *Adrenal Cortex Hormones--Therapeutic Use--TU; *Adrenergic Beta Receptor Agonists--Therapeutic Use--TU; *Asthma--Drug Therapy--DT; Albuterol--Therapeutic Use--TU; Bronchodilator Agents--Therapeutic Use--TU; Drug Synergism; Drug Tolerance; Hydrocortisone--Therapeutic Use--TU; Isoproterenol--Therapeutic Use--TU; Methylprednisolone--Therapeutic Use--TU; Prednisolone--Therapeutic Use--TU; Pregnenediones--Therapeutic Use--TU; Terbutaline--Therapeutic Use--TU

CAS Registry No.: 0 (Adrenal Cortex Hormones); 18559-94-9 (Albuterol); 23031-25-6 (Terbutaline); 50-23-7 (Hydrocortisone); 50-24-8 (Prednisolone); 51333-22-3 (budesonide); 7683-59-2 (Isoproterenol); 83-43-2 (Methylprednisolone)

ATTACHMENT B

DLEV012174

GUINEA-PIGS, BUT SUPPRESSES RESPONSES TO FMLP

A. Imaizumi, J. Lefort & B.B. Vargatig, Unité de Pharmacologie Cellulaire, Unité Associée Institut Pasteur-INSEERM n° 283, 25 rue du Dr Roux, 75015, Paris, France.

Mice and rats inoculated with *Bordetella pertussis* vaccine show increased sensitivity to histamine, serotonin and anaphylaxis (Pardue and Goodline, 1948; Kind, 1958). This has been attributed to an acquired imbalance of two adrenergic effector systems, i.e., to a reduced functioning of the β -adrenergic receptors or of some of the reactions between receptor activation and adrenergic end-response (Szentivanyi, 1968). We have shown that enhanced bronchoconstriction, BC (i.e., unspecific broncho-pulmonary hyperresponsiveness) follows the administration of a booster injection of antigen to actively sensitized guinea-pigs (Pretolani et al., 1988). This led us now to study the effects of pertussis toxin (PT), the active component of *B. pertussis* on broncho-pulmonary responsiveness. PT was administered i.v. to guinea-pigs at 0.8-20 $\mu\text{g/kg}$ 6-72 h before they were stimulated, under pentobarbitone anesthesia, with i.v. histamine (0.5-18 $\mu\text{g/kg}$) or serotonin (0.5-8 $\mu\text{g/kg}$) at 10 min intervals. Bronchial resistance to infusion was evaluated by the method of Konzett-Rosater in cm H₂O. PT induced leukocytosis (lymphocytosis), and in 10 animals the number of circulating leukocytes increased from 5,700 \pm 800 to 38,900 \pm 3,700 at the dose of 20 $\mu\text{g/kg}$ after 72 h. This effect was dose and time-dependent and started within 6 h. Initially no differences were observed between the bronchoconstrictor responses to histamine or to serotonin of control and PT-treated animals but, when propranolol was used (1 mg/kg i.v. and 3 mg/kg i.p.), BC was slightly increased only (% BC: 13.4 \pm 2.8 up to 18.6 \pm 3.5) in control, but was markedly increased (% BC: 8.9 \pm 2.8 to 70.5 \pm 4.4, $p < 0.001$) in animals treated 72 h beforehand with PT at 20 $\mu\text{g/kg}$. Similar effects were observed with serotonin. In contrast, BC and the accompanying leukopenia induced by the i.v. administration of the secretagogue N-formyl-L-methionyl-L-leucyl-L-phenylalanine (FMLP) (Boukili et al., 1985 and 1989) were antagonized by PT. Because of the contrasting effects on FMLP and on histamine and serotonin, isolated lungs provided by PT-treated animals where used. Under those conditions, BC and histamine and thromboxane A₂ releases induced by the intra-pulmonary administration of FMLP were suppressed but the effects of OA (3 ng-100 μg injected i.p. twice, at a 2-week interval) were enhanced. PT thus modifies negatively the signal transductions for cells involved in the lung responses to FMLP, but positively the effects of the direct constrictor agents histamine and serotonin and of antigen, which induces BC via these mediators. Our data suggest that PT prevents the effects of FMLP on a target other than the neutrophil, since it was effective on the isolated lungs (Boukili et al., 1989), possibly via its recognized effects on the G α protein of other effector systems present in the lung. Hyperresponsiveness may result from an enhanced mediator release, possibly due to down regulation of a G α protein, associated to a direct effect on smooth muscle, at a level which is under investigation.

1. Boukili, M.A., Bureau, M., Lagente, V., Lefort, J., Leblouch-Tubiana, A., Maranchère, E. & Vargatig, B.B. (1986) Br. J. Pharmacol., 69, 349-359.
2. Boukili, M.A., Bureau, M., Leblouch-Tubiana, A., Lefort, J., Simon, M. & Vargatig, B.B. (1989) Br. J. Pharmacol., 98, 51-70.
3. Kind, L.S. (1958) Brit. Rev., 22, 173.
4. Pardue, J.A. & Goodline, M.A. (1948) J. Pharmac. exp. Ther., 92, 411.
5. Pretolani, M., Lefort, J. & Vargatig, B.B. (1988) Am. Rev. Respir. Dis., 138, 1572-1578.
6. Szentivanyi, A. (1968) J. Allergy, 42, 203-232.

66P AN ANOMALOUS EFFECT OF SALBUTAMOL IN SENSITISED GUINEA-PIGS

I. Chapman*, L. Mazzoni & J. Morley, Preclinical Research, Sandoz Ltd., Basel CH-4002, Switzerland.

Eosinophils migrate to the intrapulmonary airways of sensitised guinea-pigs in response to inhaled allergen. Whilst assessing the capacity of anti-asthma drugs to inhibit this phenomenon, it was noted that animals pretreated with salbutamol (S) (1 mg/kg/day) by subcutaneous infusion invariably died on inhalation of allergen, in marked contrast to animals that were untreated or received other anti-asthma drugs. The contribution of altered airway smooth muscle function to this untoward effect has been investigated.

Guinea-pigs (450-600 gm) were sensitised by intraperitoneal injection (1 ml) of a suspension containing ovalbumin (OA, 10 $\mu\text{g/ml}$) and aluminium hydroxide (10 mg/ml) and separately with pertussis toxin (0.25 ml) on day 0, boosted on day 14 and implanted with either saline (C) or salbutamol (S) (1 mg/kg/day, Alzet minipump, s.c.) between day 21 and day 30. Six days later animals were anaesthetised with pentobarbitone (100 mg/kg i.p.) and paralysed with gallamine (10 mg/kg i.v.) and ventilated (1 C₅₀ ml H₂O/l/sec) via a tracheal cannula. Airway resistance (R, cm H₂O/l/sec) and compliance (C₅₀, ml H₂O/l/sec) were calculated from measurement of tracheal airflow and transpulmonary pressure (Digital electronic pulmonary monitoring system, Mumed Ltd., U.K.). Animals were challenged with aerosolised OA (10-1000 $\mu\text{g/ml}$ for 10 min) and changes in R and C₅₀ were monitored at each breath. Airway responses to inhaled OA or intravenous histamine (1.0 & 1.8 $\mu\text{g/kg}$) were expressed as the maximal increase in R (mean \pm sem). Responses to histamine in naive animals (107 \pm 67, 198 \pm 77, n=4) were not dissimilar from C animals (109 \pm 48, 262 \pm 91, n=10). Prior treatment with S (1 mg/kg/day s.c.) resulted in a slight reduction of these responses (46 \pm 12, 139 \pm 42, n=10, NS). No response to inhaled OA (100 μg) was observed in naive animals, in contrast to C animals (132 \pm 38, n=10) which developed increased reactivity to histamine following antigen challenge (418 \pm 64, 799 \pm 76, n=10). In animals pretreated with S, the reaction to antigen (334 \pm 58, n=10) was significantly ($p < 0.001$) increased, even though airway responses to histamine were slightly reduced (225 \pm 66, 613 \pm 106, n=10).

The present results demonstrate that pretreatment of sensitised guinea-pigs with S augments the response to antigen. Altered distribution or increased dosage of inhaled allergen, altered airway reactivity or hypoxic vasoconstriction are mechanisms that might contribute to this phenomenon.

United States Patent (19)

Sunshine et al.

[11] Patent Number: 4,975,426

[45] Date of Patent: Dec. 4, 1990

[1] COUGH/COLD MIXTURES COMPRISING
NON-SEDATING ANTIHISTAMINE DRUGS[75] Inventors: Abraham Sunshine, New York;
Eugene M. Laska, Larchmont;
Carole E. Siegel, Mamaroneck, all of
N.Y.[73] Assignee: Analgesic Associates, Larchmont,
N.Y.

[21] Appl. No.: 315,161

[22] Filed: Feb. 24, 1989

Related U.S. Application Data

[62] Division of Ser. No. 39,635, Jun. 8, 1987, Pat. No.
4,829,064.[51] Int. Cl.³ A61K 31/60; A61K 31/62;
A61K 31/615; A61K 31/305; A61K 31/44;
A61K 31/445; A61K 31/19[52] U.S. Cl. 514/159; 514/161;
514/165; 514/166; 514/256; 514/290; 514/315;
514/336; 514/370[58] Field of Search 514/159, 165, 256, 290,
514/315, 336, 370, 629, 630[56] References Cited
PUBLICATIONSHandbook of Nonprescription Drugs 8th ed. (1986) pp.
137-139 and 166.The Merck Index 10th ed (1983), pp. 1310-1311 and
APP-1.

Chemical Abstracts 104(1):517, Cohen et al. (1986).

Chemical Abstracts 106(5):27517i, Roman et al. (1986).

Chemical Abstracts, 106(15):113384d, Calcott et al.
(4/13/87).

Primary Examiner—Douglas W. Robinson

Assistant Examiner—Raymond J. Henley, III

Attorney, Agent, or Firm—Burns, Doane, Swecker &
Mathis

[57] ABSTRACT

Pharmaceutical compositions and methods of using
same comprising aspirin, sodium salicylate, salicylamide
or acetaminophen, in combination with a non-sedating
antihistamine and optionally one or more other active
components selected from a decongestant, cough sup-
pressant (antitussive) or expectorant are provided for
the relief of cough, cold, cold-like and/or flu symptoms
and the discomfort, pain, headache, fever and general
malaise associated therewith.

33 Claims, No Drawings

ATTACHMENT D

DLEV012176

United States Patent [19]

Sunshine et al.

[11] Patent Number: 4,923,898

[45] Date of Patent: May 8, 1990

[34] **ANALGESIC, ANTI-INFLAMMATORY AND SKELETAL MUSCLE RELAXANT COMPOSITIONS COMPRISING NON-STEROIDAL ANTI-INFLAMMATORY DRUGS AND MUSCULOSKELETAL RELAXANTS AND METHODS OF USING SAME**

[75] Inventors: Abraham Sunshine, New York; Eugene M. Laska, Larchmont; Carole E. Siegel, Mamaroneck, all of N.Y.

[73] Assignee: Analgesic Associates, Larchmont, N.Y.

[21] Appl. No.: 277,989

[22] Filed: Aug. 3, 1988

Related U.S. Application Data

[60] Division of Ser. No. 114,751, Oct. 30, 1987, Pat. No. 4,780,463, which is a division of Ser. No. 815,502, Jan. 2, 1986, Pat. No. 4,722,938, which is a continuation of Ser. No. 686,380, Dec. 26, 1984, abandoned.

[51] Int. Cl.³ A61K 31/19

[52] U.S. Cl. 514/557

[58] Field of Search 514/557

[56] **References Cited**

U.S. PATENT DOCUMENTS

4,683,243 7/1987 Sunshine et al. 514/557

FOREIGN PATENT DOCUMENTS

2121529 8/1972 France

OTHER PUBLICATIONS

Physicians' Desk Reference, 28th Ed., (1974), p. 972.
Armas & Valencia, "Eficacia terapeutica de la asociacion naproxen-carisoprodol en ciertas enfermedades musculoesqueleticas", [Therapeutic Effectiveness of Naproxen-Carisoprodol Association in Certain Musculoskeletal Disorders], in *Investigation Medica Internacional*, pp. 330-336, (1983), and English translation thereof.

Goti & Valencia, "Caracterizacion clinica de una nueva asociacion (naproxen+carisoprodol) en padecimientos del aparato musculoesqueletico", [Clinical Description of a New Association (Naproxen+Carisoprodol) in

Ailments of the Musculoskeletal Apparatus], *Investigation Medica Internacional*, pp. 475-478, (1983), and English translation thereof.

Socialist Republic of Romania Description of Invention 82,717, copy of patent and English translation thereof.
Rego, "Mio-Relaxantes No Tratamento Das Lombalgias Agudas E Da Lombo-Sciaticas Recentes", [Muscle Relaxants in the Treatment of Acute Lumbalgias and Recent Lumbo-Sciaticas Cases], *Acta Reumatologica Portuguesa*, II, 2:363-364, (1974), copy of the original and English translation thereof.

Schorr, "Analgetisch-antiphlogistische Therapie Von Schmerzzustanden des Bewegungsapparates", [Analgesic-Antiphlogistic Therapy of Locomotor System Pain], *Therapiewoche*, 28, 5657-5663, (1978), copy of the original and English translation thereof.

Schar, "Medikamentose Behandlung von Lumboschialgien", [Drug Treatment of the Lumbago-Sciatic Syndrome], *Schweiz. Rundschau Med. (Praxis)*, vol. 68, No. 5, pp. 141-142, (Jan. 30, 1979), copy of original article and English translation thereof.

Kolodny and Klipper, "Bone and Joint Diseases in the Elderly", *Hospital Practice*, pp. 91-101, (Nov., 1976).

Nascimento, "Use of an Association Containing an Analgesic, a Muscle Relaxant and Vitamin B Complex in Degenerative Joint Diseases, Extra-Articular Rheumatic Ailments and Traumatic Afflictions", *F. med (BR)*, 83(3):361-363, (1981), original article and English translation thereof.

Repschlaeger and McPherson, "Classification, Mechanism and Management of Headache", *Clinical Pharmacology*, vol. 3, pp. 139-150, (Mar.-Apr. 1984).

Primary Examiner—Stanley J. Friedman

Attorney, Agent, or Firm—Burns, Doane, Swecker & Mathis

[57] ABSTRACT

Novel pharmaceutical analgesic, anti-inflammatory and skeletal muscle relaxant compositions and methods of using same comprising an analgesically and anti-inflammatory effective amount of at least one non-steroidal anti-inflammatory drug other than aspirin, acetaminophen and phenacetin, in combination with an effective amount of a skeletal muscle relaxant.

20 Claims, No Drawings

ATTACHMENT E

DLEV012177

United States Patent [19]

Sunshine et al.

[11] Patent Number: **5,027**[45] Date of Patent: **Jun. 17**[54] **COUGH/COLD MIXTURES COMPRISING
NON-STEROIDAL ANTI-INFLAMMATORY
DRUGS**[75] Inventors: Abraham Sunshine, New York;
Eugene M. Laska, Larchmont;
Carole E. Siegel, Mamaroneck, all of
N.Y.[73] Assignee: Analgesic Associates, Larchmont,
N.Y.

[21] Appl. No.: 438,074

[22] Filed: Nov. 20, 1989

Related U.S. Application Data[62] Division of Ser. No. 144,099, Jan. 13, 1981, Pat. No.
4,920,149, which is a division of Ser. No. 837,203, Jul.
21, 1984, Pat. No. 4,738,966, which is a division of Ser.
No. 752,546, Jul. 1, 1985, Pat. No. 4,619,934, which is
a division of Ser. No. 598,502, Apr. 9, 1984, Pat. No.
4,552,899.[51] Int. Cl. ³ A61K 31/19; A61K 31/44;
A61K 31/435; A61K 31/445[52] U.S. Cl. 514/377; 514/290;
514/325; 514/368; 514/633[58] Field of Search 514/568, 633, 277, 290,
514/325Primary Examiner—Stanley J. Friedman
Attorney, Agent, or Firm—Burns, Doane, Swecker &
Mathis[57] **ABSTRACT**Pharmaceutical compositions and methods of using
same comprising a non-steroidal anti-inflammatory
drug in combination with at least one other active com-
ponent selected from an antihistamine, decongestant,
cough suppressant (antitussive) or expectorant are pro-
vided for the relief of cough, cold and cold-like symp-
toms.**23 Claims, No Drawings**

ATTACHMENT F

DLEV012178

0701.027

N

s substrate for
anal of Biological

I. (1986). Tissue
ogenous substrate
inase. Endocrino-

za virus transfor-
known polypeptide

tyl and palmityl
cel Chemistry. 262-

t. liver membranes
substrate for the
epidermal growth

apidly stimulates
ntact cells. Nature

icher E., Obermaier
a 46-KDa membrane
lation of several
National Academy

.C., Wang P.H., Kahn
type 1 insulin
cells. III Interna-
Madrid.

R.W., Taylor S.I.
oprotein substrate
in intact H-35
of Science U.S.A.

THE β -ADRENERGIC RECEPTOR KINASE: ROLE IN HOMOLOGOUS DESENSITIZATION IN S49 LYMPHOMA CELLS

Ruth H. Strasser, Jeffrey L. Benovic,
Robert J. Lefkowitz and Marc G. Caron

Howard Hughes Medical Institute
Departments of Medicine, Biochemistry and Physiology
Duke University Medical Center
Durham, North Carolina 27710 USA

Summary

Phosphorylation of the β -adrenergic receptor (BAR) is closely associated with homologous desensitization of the β -adrenergic receptor-coupled adenylate cyclase system. Homologous desensitization and receptor phosphorylation also occur in cell mutants which are deficient in their cAMP-dependent protein kinase (kin⁻ mutant of S49 lymphoma cells). BAR phosphorylation is mediated by a cAMP-independent protein kinase which phosphorylates the receptor only when it is occupied by a β -agonist. During the time course of desensitization the BAR kinase (BARK) activity is translocated from a cytoplasmic to a plasma membrane location. BARK translocation can also be effected by prostaglandin E_1 (PGE_1) suggesting that this BARK may represent a more general enzyme capable of phosphorylating other adenylate cyclase-coupled receptors. Thus, BARK may play a key role in the process of homologous desensitization of adenylate cyclase coupled receptors.

Extracellular hormones interact with specific receptors at the outer surface of the plasma membrane and thus initiate a cellular response. One of the best studied transmembrane signalling systems known to be coupled to the occupancy of cell surface receptors is adenylate cyclase. The adenylate cyclase system is composed of various components all of which have been purified to homogeneity (Shorr et al., 1982; Homcy et al., 1983; Benovic et al., 1984; Codina et al., 1984; Northup et al., 1980; Sternweis et al., 1981; Bokoch et al., 1984; Pfeuffer et al., 1985). Initially, agonist binding to the receptor promotes coupling of the occupied receptor to one of the guanine nucleotide binding regulatory proteins. These proteins are members of a

Adv. Exp. ^{med.} Biol. 231, 503-517 (1988)

503

EXHIBIT 1

DLEV012179

family of heterotrimeric proteins consisting of α , β and γ subunits. Stimulatory receptors like the β -adrenergic (Carione et al., 1984) or glucagon (Iyengar et al., 1979) receptors couple to the stimulatory regulatory protein N_s (or G_s) whereas inhibitory receptors like the α_2 -adrenergic (Jacobs et al., 1976) or M_2 -muscarinic (Harden et al., 1982) receptors couple to the inhibitory regulatory protein N_i (or G_i).

Prolonged exposure to agonist hormones, either stimulatory or inhibitory, results in an attenuation of the response to the hormonal activation, a phenomenon called tachyphylaxis or desensitization (Harden, 1983; Sibley and Lefkowitz, 1985; Sharma et al., 1975). One of the best studied models for desensitization is the β -adrenergic receptor-coupled adenylate cyclase system. In this system two different forms of desensitization have been characterized. Homologous or hormone-specific desensitization results in an attenuated response only to the desensitizing hormone. In contrast, the heterologous form of desensitization leads to a general decrease of adenylate cyclase activity promoted not only by the desensitizing hormone but by other hormones and non-hormonal stimulators as well.

Previous studies have demonstrated that phosphorylation of the β -adrenergic receptor is involved in the mechanism of heterologous desensitization (Stadel et al., 1983; Sibley et al., 1984). In this form of desensitization phosphorylation of the β -adrenergic receptor is at least in part cAMP-dependent and mediated by the cAMP-dependent protein kinase (protein kinase A) (Strulovici et al., 1984; Sibley et al., 1984; Benovic et al., 1985).

Homologous desensitization, however, appears to be independent of cAMP since it has been observed in systems which are defective in their cAMP-dependent pathway (Green and Clark, 1981; Green et al., 1981; Perkins, 1983; Clark et al., 1985). These systems either lack the N_s protein or a functional cAMP-dependent protein kinase. Consequently β -adrenergic receptor occupancy does not result in an increase in intracellular cAMP levels (cyc mutant of S49 lymphoma cells) (Bourne et al., 1975; Bourne et al., 1981; Ross and Gilman, 1977) or cAMP-dependent protein phosphorylation (kin⁻ mutant of S49 lymphoma cells) (Steer et al., 1976; Steinberg et al., 1978; Mahan et al., 1985). Therefore, if phosphorylation of the β -adrenergic receptor is involved in the process of homologous desensitization it must be catalyzed by a non cAMP-dependent protein kinase. To address these questions we utilized the kin⁻ mutant of the S49 lymphoma cells (Steer et al., 1976; Steinberg et al., 1978; Mahan et al., 1985). We document here a cAMP independent pathway of β -adrenergic receptor active phosphorylation during homologous desensitization. The kinase involved in this phosphorylation

id γ subunits.
: al., 1984) or
: stimulatory
ors like the
arden et al.,
tein N_1 (or G_1).
mulatory or
o the hormonal
icization
.. 1975). One of
renergic
sem two different
logous or
id response only
gous form of
cyclase
but by other

tion of the
terologous
4). In this
gic receptor is
-dependent
34; Sibley et

Independent of
active in their
l., 1981;
lack the N_s
onsequently
rease in

lls) (Bourne et
cAMP-dependent
s) (Steer et

Therefore, if
in the process
on

: we utilized
976; Steinberg
P independent
ring
phosphorylation

process is distinct from other known kinases and phosphorylates only the agonist occupied form of the β -adrenergic receptor. Moreover, during desensitization the cytosolic kinase activity becomes transiently translocated to the plasma membranes in a cAMP-independent manner.

MATERIALS AND METHODS

Cells and incubations - S49 lymphoma cells, wild type (clone 24.3.2) and kin^- mutants (clone 25.6.1), were grown in Dulbecco's modified Eagle's medium with 10% horse serum. Cells were harvested by centrifugation (800 \times g, 3 min), washed three times with phosphate-free Dulbecco's modified Eagle's medium and incubated at 37°C for various periods of time (as indicated) in the presence of a β -adrenergic agonist for desensitization. To study the *in situ* phosphorylation of the β -adrenergic receptor the intracellular pool of ATP was labeled by incubating the cell with carrier-free ^{32}P (0.3 mCi/ml) prior to desensitization. The desensitization incubation was stopped by adding ice-cold phosphate-buffered saline with propranolol (10^{-6} M) followed by immediate sedimentation of the cells (800 \times g, 5 min).

Purification of the β -adrenergic receptor - The purification of the *in situ* phosphorylated β -adrenergic receptor was performed by affinity chromatography as previously described (Strasser et al., 1986a). Purified β -adrenergic receptor from hamster lung (Benovic et al., 1984) was used as a substrate for the receptor kinase assays.

Preparation of cell fractions for assay of β -adrenergic receptor kinase - After incubation (as described above) the sedimented cells were lysed in 2 volumes of 10 mM Tris, 15 mM $MgCl_2$, 5 mM EDTA, 10^{-4} M PMSF, 5 μ g/ml leupeptin, 5 μ g/ml pepstatin, pH 7.4 using a glass homogenizer (20 strokes). Unbroken cells and cell nuclei were sedimented at 800 \times g for 10 min and discarded. The plasma membranes were then sedimented at 48,000 \times g for 20 min. To obtain a cytosolic fraction the 48,000 \times g supernatant was centrifuged at 150,000 \times g for 60 min. To test for the receptor kinase activity the cytosolic and plasma membrane fractions were used directly.

Kinase assay - Pure β -adrenergic receptor was reconstituted into phospholipid vesicles as previously described (Benovic et al., 1986). The reconstituted β -adrenergic receptor (\approx 5 pmol) was incubated in 25 mM Tris, 10 mM NaCl, 1.5 mM EDTA, 1 mM EGTA, 5 mM $MgCl_2$, 5 mM NaF, 50 μ M Na_3VO_4 , 10^{-4} M PMSF, 5 μ g/ml leupeptin, 5 μ g/ml pepstatin, pH 7.4 in the presence of 50 μ M [γ - ^{32}P]ATP (25 cpm/fmol), with or without 10^{-4} M isoproterenol or the β antagonist alprenolol (10^{-5} M) and in the presence of the appropriate kinase preparation for 20 min at 30°C in a

total volume of 100 μ l. The reaction was stopped by adding 1 ml of ice-cold 100 mM NaCl, 10 mM Tris, 2% digitonin, pH 7.2. The β -adrenergic receptor was then repurified by affinity chromatography (Benovic et al. 1986).

Other assays - β -Adrenergic receptor assays, adenylate cyclase assays and NaDodSO₄/polyacrylamide gel electrophoresis were performed essentially as described in Strasser et al. (1986a).

RESULTS

Wild type (WT) and kin^- mutants of the S49 lymphoma cells preincubated with carrier-free [³²P]PI to label the intracellular ATP pool (Strasser et al., 1986a), were incubated in the presence of 10^{-6} M isoproterenol to induce desensitization. Homologous desensitization (agonist specific) was documented by measuring the adenylate cyclase activity in the plasma membranes (data not shown). As shown in Fig. 1 homologous desensitization induces a dramatic increase in the phosphorylation of the β -adrenergic receptor of both the wild type and the kin^- mutant of the S49 lymphoma cells (0.2 mol P/mol β -adrenergic receptor for control and 0.8 mol P/mol for desensitized cells). These results indicate that a non cAMP-dependent pathway is involved in the phosphorylation process of the β -adrenergic receptor during homologous desensitization.

To identify the kinase activity which is involved in this phosphorylation process, the cytoplasmic and plasma membrane fractions from untreated kin^- mutants of the S49 lymphoma cells were tested for their ability to phosphorylate pure BAR reconstituted into phospholipid vesicles. As shown in Fig. 2 cytoplasmic fractions of these cells phosphorylate the BAR but only in the presence of the β -agonist isoproterenol. The presence of the β -agonist induces about a 5- to 10-fold increase in the phosphorylation of the BAR. The effect of the agonist can be completely blocked by the β antagonist alprenolol. These data indicate that in the reconstituted system agonist occupancy of the BAR induces a state of the receptor which makes it a much better substrate for BAR kinase activity present in the cytosol of these cells. This effect of agonist is independent of the generation of cAMP or presumably any other unknown second messenger since the effect is observed in an *in vitro* system utilizing purified components.

As mentioned above the β -adrenergic receptor kinase is a predominantly cytosolic enzyme. Yet the β -adrenergic receptor is an integral membrane glycoprotein (Stiles et al., 1984). Thus, the question arises as to how does a cytosolic enzyme function to

ding 1 ml of
The
hromatography

late cyclase
were performed

in cells
racellular ATP
esence of 10^{-4} M
sensitization
ylate cyclase
shown in Fig. 1
in the
e wild type and
l β -adrenergic
(cells). These
nvolved in the
ring homologous

in this
brane fractions
were tested for
nto phospholipid
these cells
-agonist
bout a 5- to 10-
ffect of the
iprenolol. These
occupancy of the
ch better
hese cells. This
AMP or presumably
observed in an in

e is a
eceptor is an
Thus, the
ion to

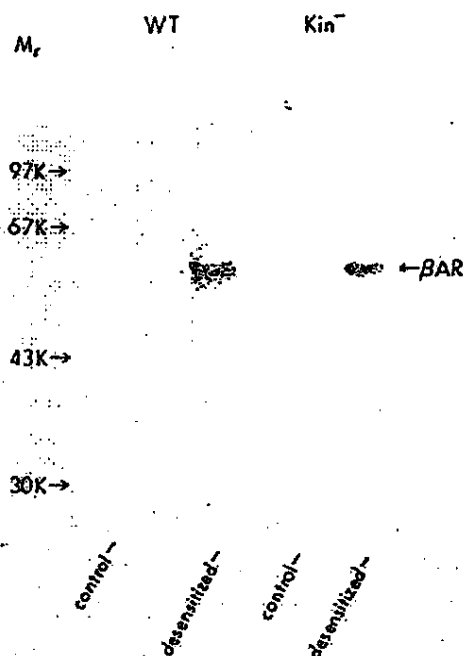


Fig. 1. Phosphorylation of the β -adrenergic receptor during desensitization in WT and kin^- S49 lymphoma cells. Wild type and kin^- mutants of the S49 lymphoma cells were incubated (37°C) with $0.3 \text{ nCi } ^{32}\text{P}_i/\text{ml}$ PI as described in Methods. Desensitization was induced by incubating the cells with isoproterenol (10^{-5} M) for 20 min. The β -adrenergic receptors were purified and visualized by autoradiography after gel electrophoresis (see Methods). Indicated on the left is the relative mobility of the molecular weight standards. Indicated on the right (arrow) is the relative mobility of the β -adrenergic receptors derived either from control (lane 1) or desensitized (lane 2) wild type cells or control (lane 3) or desensitized (lane 4) kin^- mutant cells.

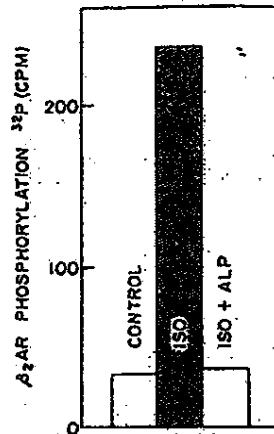
ph
qu
ph
ex
ph
dj

Fig. 2. Influence of agonist occupancy on phosphorylation of the β -adrenergic receptor by the β -adrenergic receptor kinase. Pure hamster lung β -adrenergic receptor was reconstituted into lipid vesicles and incubated for 30 min at 30°C with crude β -adrenergic receptor kinase prepared from a kin⁺ cell cytosol fraction. The incubations also contained either no ligand (control), 100 μ M (-)-isoproterenol (Iso) or 100 μ M (-)-isoproterenol + 10 μ M (\pm)alprenolol (Iso + Alp). Phosphorylated β -adrenergic receptor was then repurified, electrophoresed on a 10% polyacrylamide gel and visualized by autoradiography (see Methods).

phosphorylate a plasma membrane protein? In an attempt to answer this question we followed cytoplasmic enzyme activity and in situ phosphorylation of the β -adrenergic receptor as a function of time of exposure to isoproterenol. As the β -adrenergic receptors become rapidly phosphorylated, the β -adrenergic receptor kinase activity rapidly disappears from the cytosolic fraction (Fig. 3). After 15 min of

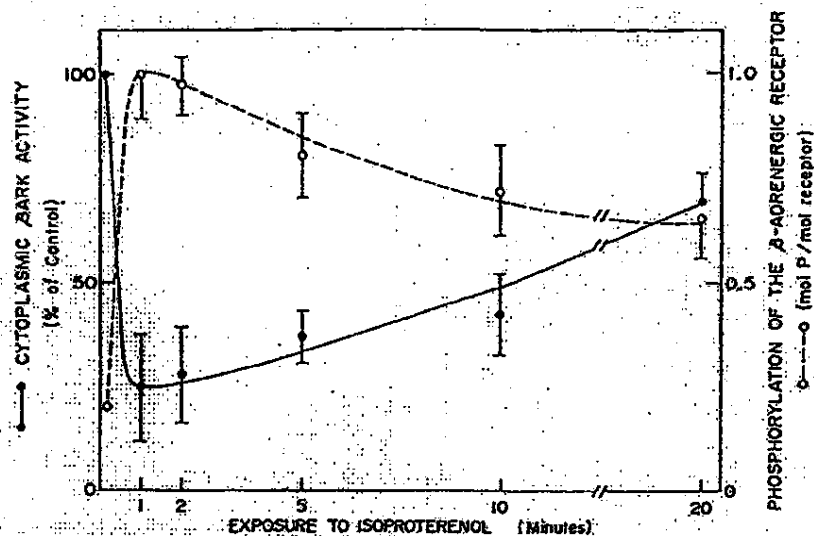


Fig. 3. Time course of cytoplasmic BARK activity and in situ β -adrenergic receptor phosphorylation during desensitization. Kin- mutants of the S49 lymphoma cells were incubated 0-30 min in the presence of 10^{-5} M isoproterenol to induce homologous desensitization. The β -adrenergic receptor kinase activity relative to control (\bullet) was measured using the reconstituted, agonist occupied hamster lung receptor as substrate (see Methods). The phosphorylation of the β -adrenergic receptor (\circ) within the plasma membrane of the intact cells (in situ) was quantitated after autoradiography of the purified receptor (see Methods).

phorylation of the
inase. Pure hamster
pid vesicles and
receptor kinase
ubations also
roteranol (Iso) or
Alp).
fied,
alized by

isoproterenol induced desensitization about 75% of the kinase activity has vanished from the cytosol (Fig. 3). This decrease in cytosolic kinase activity is accompanied by a simultaneous increase in the kinase activity associated with the plasma membrane. As shown in Fig. 4, an increase in membrane activity of about 6.5 fold can be observed indicating that the β -adrenergic receptor kinase is translocated from the cytosol to the plasma membrane upon β agonist promoted desensitization. At longer times (Fig. 3) (20-60 min) when the extent of phosphorylation of the total pool of receptor decreases the cytosolic kinase activity returns to control levels (data not shown).

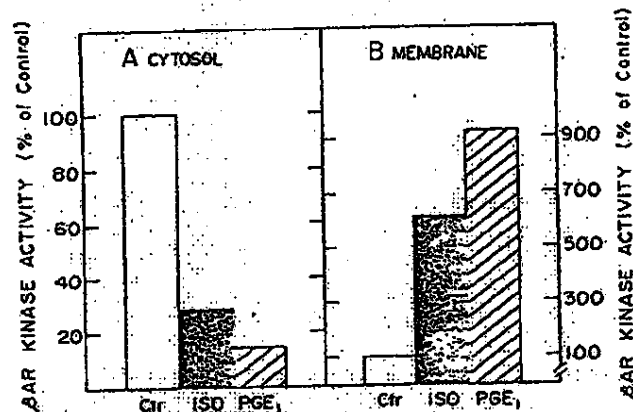
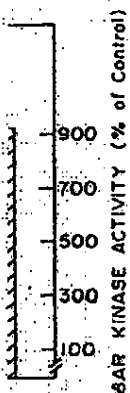


Fig. 4. Translocation of the β -adrenergic receptor kinase from the cytosol to the plasma membrane. Kin mutants of the S49 lymphoma cells were desensitized for 15 min with 10^{-5} M isoproterenol (ISO) or 10^{-6} M prostaglandin E_1 . The β -adrenergic receptor kinase activity was measured in the cytoplasmic (cytosol) and in the plasma membrane (membrane) fractions using the reconstituted, agonist occupied β -adrenergic receptor as substrate (see Methods). Indicated are the relative kinase activities compared to controls.

These data suggests that specific agonist occupancy of the β -adrenergic receptor triggers the translocation of the receptor kinase. We next wished to determine whether this kinase is a specific β -receptor kinase or whether it is an enzyme with more general substrate specificity. Since the β -adrenergic receptor is the only adenylate

kinase activity
in cytosolic
ase in the kinase
n in Fig. 4, an
observed
anslocated from
oted
when the extent
ases the cytosolic
own).



tor kinase from the
S49 lymphoma cells
1 (ISO) or 10^{-6} M
activity was
ma membrane
occupied
indicated are the

cy of the
receptor kinase.
specific β -receptor
substrate
only adenylyate

cyclase stimulatory receptor purified to homogeneity we attempted to use this translocation phenomenon of the kinase to further probe the specificity of this kinase. S49 lymphoma cells are known to possess prostaglandin E_1 (PGE_1) receptors coupled to stimulation of adenylyate cyclase (Bourae et al., 1982). As has been shown previously (Strasser et al., 1986) prolonged exposure of S49 lymphoma cells to PGE_1 induces a homologous form of desensitization to PGE_1 stimulation of adenylyate cyclase. Strikingly, PGE_1 induced desensitization of the PGE_1 stimulated adenylyate cyclase also promotes a translocation of the receptor kinase activity from the cytosol to the plasma membrane (Figure 4).

DISCUSSION

The data presented here document that: 1) β -adrenergic agonists can stimulate the phosphorylation of their own receptors, the β -adrenergic receptor, via a cAMP-independent pathway. 2) This phosphorylation is carried out by a kinase (BARK) which is exquisitely specific for the agonist occupied form of the β -adrenergic receptor. 3) BARK is a cytosolic enzyme which appears to translocate to the plasma membrane upon occupancy of the β -receptor with an agonist. 4) BARK may have a broader specificity since other stimulators of adenylyate cyclase such as PGE_1 will promote the translocation of the activity from cytosol to plasma membrane. 5) Phosphorylation of the β -adrenergic receptor by BARK appears to correlate temporally with the process of homologous desensitization in S49 cells.

Moreover, this receptor kinase activity has been separated from other known kinase activities by sequential chromatography on molecular sieve HPLC and DEAE chromatography (Benovic et al., 1986). It was found that the β -adrenergic receptor kinase does not phosphorylate such common substrates as mixed histones or casein. Moreover the β -adrenergic receptor kinase is not stimulated by common kinase activators such as cAMP, cGMP, Ca^{2+} /calmodulin or Ca^{2+} /phosphatidylserine indicating that the β -adrenergic receptor kinase is distinct from other known kinases (Benovic et al., 1986).

The homologous nature of desensitization is characterized by a selective blunting of the response to the desensitizing agonist. Thus, phosphorylation of the agonist-occupied form of the β -adrenergic receptor by BARK provides a mechanism which can account for the phenomenon of homologous desensitization. Our current understanding of the process of homologous desensitization can be outlined as follows. Initially the agonist binds to its receptor inducing a putative conformational change which enables the receptor to interact with the

guanine nucleotide regulatory protein N_s . This results in stimulation of adenylate cyclase. Independent of the generation of the second messenger cAMP the cytosolic receptor kinase becomes associated with the plasma membrane where it interacts with and phosphorylates the agonist-occupied form of the receptor. The phosphorylated receptors are uncoupled from their interaction with N_s (unpublished observations). The phosphorylated receptors are then sequestered away from the plasma membrane into a vesicular compartment (Harden, 1983; Sibley and Lefkowitz, 1985). Whether receptor phosphorylation represents the trigger for sequestration or whether this sequestered compartment represents a specific site for receptor dephosphorylation are questions requiring further investigation (Sibley et al., 1986).

The most remarkable property of BARK is its exquisite specificity for the agonist-occupied form of the β -adrenergic receptor. This situation is strikingly similar to the light adaptation process in the rod outer segment of the eye where rhodopsin phosphorylation is catalyzed by a specific rhodopsin kinase which phosphorylates only bleached rhodopsin (i.e. the "agonist" occupied form of the light receptor) (Bownds et al., 1972; Kuhn and Dreyer, 1972; Shichi et al., 1974, 1978). Rhodopsin phosphorylation attenuates the ability of rhodopsin to activate transducin, the nucleotide binding protein involved in this system (Shichi et al. 1984; Wilden et al., 1986). Thus, in addition to the similarities that exist in the functional components of these disparate systems (hormonal transduction and light perception) the discovery of a hormone receptor specific kinase suggests that these systems may share common regulatory mechanisms.

This homology has been further strengthened by the recent cloning of the gene for the hamster β -adrenergic receptor (Dixon et al., 1986). The β -adrenergic receptor and rhodopsin share several similar features including two glycosylation sites near the amino-terminus, seven putative trans-membrane helices, some amino acid homology and potential sites of phosphorylation. Phosphorylation of rhodopsin by rhodopsin kinase is known to occur primarily at serine and threonine residues clustered at the C-terminal 15 amino acids. The hamster β -adrenergic receptor also possesses a serine and threonine rich region in the last C-terminal 21 amino acids which may represent the site of BARK phosphorylation.

The S49 lymphoma cell, in particular the kin^- mutant which lacks protein kinase A, has served as a useful tool in the identification of a novel protein kinase (BARK) specific for the agonist occupied form of adenylate cyclase coupled receptors. This kinase may play an important

rol
rep
str
lig
Ref
Ben

Ben

Ben

Ben

Ben

Ben

Ben

Ben

Ce:

stimulation
e second
iated with the
the
receptors are
rvations).
m the plasma
y and
ents the
artment
are questions
specificity.
This
ocess in the
on is
tes only
a light
hi et al.,
lity of
rotein
, 1986).
actional
n and light
inase suggests
cent cloning
al., 1986).
ar features
seven
nd potential
hodopsin
residues
adrenergic
in the last
ARK
high lacks
fication of a
ed form of
an important

role in the process of homologous desensitization of adenylate cyclase responsiveness. Moreover, the discovery of this enzyme greatly strengthens the homology which exists between such disparate systems as light transduction and hormone responsiveness.

References

- Benovic JL, Shorr RGL, Caron MG, Lefkowitz RJ (1984) The mammalian β_2 -adrenergic receptor: Purification and characterization. *Biochemistry* **23**:4510-4518.
- Benovic JL, Pike LJ, Cerione RA, Staniszewski C, Yoshimasa T, Codina J, Caron MG, Lefkowitz RJ (1985) Phosphorylation of the mammalian β -adrenergic receptor by cyclic AMP-dependent protein kinase: Regulation of the rate of receptor phosphorylation and dephosphorylation by agonist occupancy and effects on coupling of the receptor to the stimulatory guanine nucleotide regulatory protein. *J Biol Chem* **260**:7094-7101.
- Benovic JL, Strasser RH, Caron MG, Lefkowitz RJ (1986) β -Adrenergic receptor kinase: Identification of a novel protein kinase that phosphorylates the agonist-occupied form of the receptor. *Proc Natl Acad Sci USA* **83**:2737-2801.
- Bokoch GM, Kataoka T, Northup JK, Ui M, Gilman AG (1984) Purification and properties of the inhibitory guanine nucleotide binding regulatory component of adenylate cyclase. *J Biol Chem* **259**:3560-3567.
- Bourne HR, Coffino F, Tomkins GM (1975) Selection of a variant lymphoma cell deficient in adenylate cyclase. *Science* **187**:750-752.
- Bourne HR, Kaslow D, Kaslow DR, Salomon MR, Licho V (1981) Hormone-sensitive adenylate cyclase mutant phenotype with normally regulated beta-adrenergic receptors uncoupled from catalytic adenylate cyclase. *Mol Pharm* **20**:435-441.
- Bourne HR, Beidermann B, Steinberg F, Brothers VM (1982) Three adenylate cyclase phenotypes in S49 lymphoma cells produced by mutations of one gene. *Mol Pharm* **22**:204-210.
- Bownds D, Daves J, Miller J, Ståhlman M (1972) Phosphorylation of frog photoreceptor membranes induced by light. *Nature (London) New Biol* **237**:125-127.
- Cerione RA, Sibley DR, Codina J, Benovic JL, Winslow J, Neer EJ, Birnbaumer L, Caron MG, Lefkowitz RJ (1984) Reconstitution of a hormone-sensitive adenylate cyclase system: The pure β -adrenergic receptor and guanine nucleotide regulatory protein confer hormone responsiveness on the resolved catalytic unit. *J Biol Chem* **259**:9979-9982.

Clark RB, Friedman J, Piashad N, Ruoho AE (1985)

Epinephrine-induced sequestration of the β -adrenergic receptor in cultured S49 WT and cyc⁻ lymphoma cells. *J Cyclic Nucleotide Protein Phosphorylation Res* 10:97-119.

No:

Codina J, Bildebrandt JD, Sekura RD, Birnbaumer M, Bryan J,

Pe:

Manclark R, Iyengar R, Birnbaumer L (1984) N_6 and N_1 , the stimulatory and inhibitory regulatory components of adenylate cyclase: Purification of the human erythrocyte proteins without the use of activating regulatory ligands. *J Biol Chem* 259:5871-5886.

PF:

Dixon RAF, Kobilka EK, Strader DJ, Benovic JL, Dohlman HG, Frielle T, Bolanowski MA, Bennett CD, Rands E, Diehl RE, Mumford RA, Slater EE, Sigal IS, Caron MG, Lefkowitz RJ, Strader CD (1986) Cloning of the gene and cDNA for mammalian β -adrenergic receptor and homology with rhodopsin. *Nature* 321:75-79.

Ro:

Green DA, Clark RB (1981) Adenylate cyclase coupling proteins are not essential for agonist-specific desensitization of lymphoma cells. *J Biol Chem* 256:2105-2108.

Sh:

Green DA, Friedman J, Clark RB (1981) Epinephrine desensitization of adenylate cyclase from cyc⁻ and S49 cultured lymphoma cells. *J Cyclic Nucleotide Res* 7:161-172.

Sh:

Harden TK, Scheer AG, Smith MM (1982) Differential modification of the interaction of cardiac muscarinic cholinergic and beta-adrenergic receptors with guanine nucleotide binding component(s). *Mol Pharm* 21:570-580.

SH:

Harden TK (1983) Agonist-induced desensitization of the β -adrenergic receptor-linked adenylate cyclase. *Pharmacol Res* 35:5-32.

Sh:

Homcy CJ, Rockson SG, Countaway J, Egan DA (1983) Purification and characterization of the mammalian β_2 -adrenergic receptor. *Biochemistry* 22:660-668.

Sh:

Iyengar R, Swartz TL, Birnbaumer L (1979) Coupling of glucagon receptor to adenyl cyclase: Requirement of a receptor-related guanyl nucleotide binding site for coupling of receptor to the enzyme. *J Biol Chem* 254:1119-1123.

SI:

Jacobs KH, Saw W, Schultz G (1976) Reduction of adenylate cyclase activity in lysates of human platelets by the alpha-adrenergic component of epinephrine. *J Cyclic Nucleotide Res* 2:281-286.

SI:

Kuhn H, Dreyer WL (1972) Light dependent phosphorylation of rhodopsin by ATP. *FEBS Lett* 20:1-6.

SI:

Mahan LC, Koochman AM, Insel PA (1985) Genetic analysis of

SI:

ic receptor in
nucleotide

J,
i, the
adenylate
eins without the
59:5871-5886.
HG, Frielle
mford RA, Slater
986) Cloning of
or and homology

teins are
of lymphoma

itization
phoma cells.

ication of
nd
inding

rmacol Res

cation and
eptor.

ucagon
or-related
ptor to the

e cyclase
-adrenergic
:281-286.

of

of

β -adrenergic receptor internalization. Proc Natl Acad Sci USA
82:129-133.

Northup JK, Strernweis PC, Smigel MD, Schleifer LS, Ross EM, Gilman
AG (1980) Purification of the regulatory component of
adenylate cyclase. Proc Natl Acad Sci USA 77:6516-6520.

Perkins JP (1983) Desensitization of the response of adenylyate
cyclase to catecholamines. Curr Top Memb Trans 18:85-108.

Pfeuffer E, Dreher RM, Metzger M, Pfeuffer T (1985) Catalytic unit
of adenylyate cyclase: Purification and identification by affinity
crosslinking. Proc Natl Acad Sci USA 82:3086-3090.

Ross EM, Gilman AG (1977) Reconstitution of catecholamine-sensitive
adenylate cyclase activity: Interaction of solubilized components
with receptor-replete membranes. Proc Natl Acad Sci USA
74:3715-3719.

Sharma SK, Klee WA, Nirenberg M (1975) Dual regulation of adenylyate
cyclase accounts for narcotic dependence. Proc Natl Acad Sci USA
72:3092-3096.

Shichi H, Somers RL, O'Brien FJ (1974) Phosphorylation of opsin:
Most rhodopsin molecules are not phosphorylated. Biochem
Biophys Res Commun 61:217-221.

Shichi H, Somers RL (1978) Light-dependent phosphorylation of
rhodopsin: Purification and properties of rhodopsin kinase. J Biol
Chem 253:7040-7046.

Shichi, H, Yamamoto, K and Somers, RL (1984) GTP binding protein:
properties and lack of activation by phosphorylated rhodopsin.
Vision Res 24:1523-1531.

Shorr, RGL, Lefkowitz RJ and Caron MG (1981) Purification of the
 β -adrenergic receptor: Identification of the hormone binding
site. J Biol Chem 256:5820-5826.

Sibley DR, Peters JR, Nambi P, Caron MG, Lefkowitz RJ (1984)
Desensitization of turkey erythrocyte adenylyate cyclase:
 β -Adrenergic receptor phosphorylation is correlated with alteration
of the adenylyate cyclase activity. J Biol Chem 259:9742-9749.

Sibley DR, Lefkowitz RJ (1985) Adenylyate cyclase-coupled hormone
receptors: Molecular mechanisms of desensitization. Nature (London)
317:124-129.

Sibley DR, Strasser RH, Caron MG, Lefkowitz RJ (1985) Homologous
desensitization of adenylyate cyclase is associated with
phosphorylation of the β -adrenergic receptor. J Biol Chem
260:3883-3886.

Sibley DR, Strasser RH, Daniel K, Caron MG, Lefkowitz, RJ (1986)

- Homologous desensitization of adenylate cyclase: The role of β -adrenergic receptor phosphorylation and dephosphorylation. *Fed Proc* 45:798.
- Stadel JM, Nambi P, Shorr RGL, Sawyer DF, Caron MG, Lefkowitz RJ (1983) Catecholamine-induced desensitization of turkey erythrocyte adenylate cyclase is associated with phosphorylation of the β -adrenergic receptor. *Proc Natl Acad Sci USA* 80:3173-3177.
- Steer M, Insel PA, Melmon KL, Coffino P (1976) Agonist-specific refractoriness induced by isoproterenol: Studies with cell mutants. *J Biol Chem* 251:7572-7576.
- Steinberg RA, Van Daalen Wetters T, Coffino P (1978) Kinase-negative mutants of S49 mouse lymphoma cells carry a transdominant mutation affecting expression of cAMP-dependent protein kinase. *Cell* 15:1351-1361.
- Sternweis PC, Northup JK, Smigel MD, Gilman AG (1981) The regulatory component of adenylate cyclase: Purification and properties. *J Biol Chem* 256:11517-11526.
- Stiles GL, Benovic JL, Caron MG, Lefkowitz RJ (1984) Mammalian β -adrenergic receptors: Distinct glycoprotein populations containing high mannose or complex type carbohydrate chains. *J Biol Chem* 259:8655-8663.
- Strasser RH, Cerione RA, Codina J, Caron MG, Lefkowitz RJ (1985) Homologous desensitization of the β -adrenergic receptor: Functional integrity of the desensitized receptor from mammalian lung. *Mol Pharmacol* 28:237-245.
- Strasser RH, Sibley DR, Lefkowitz RJ (1986a) A novel catecholamine-activated cAMP-independent pathway for β -adrenergic receptor phosphorylation in wild-type and mutant S49 lymphoma cells: Mechanism of homologous desensitization of adenylate cyclase. *Biochemistry* 25:1371-1377.
- Strasser RH, Benovic JL, Caron MG, Lefkowitz RJ (1986b) β -Agonist and prostaglandin E_2 -induced translocation of the β -adrenergic receptor kinase: Evidence that the kinase may act on multiple adenylate cyclase coupled receptors. *Proc Natl Acad Sci USA*, 83: 6362-6366.
- Strulovici B, Cerione RA, Kilpatrick BF, Caron MG, Lefkowitz RJ (1984) Direct demonstration of impaired functionality of a purified desensitized β -adrenergic receptor in a reconstituted system. *Science* 225:837-840.
- Wilden U, Hall SW, Kuhn H (1986) Phosphodiesterase activation

role of
lation. Fed

by photoexcited rhodopsin is quenched when rhodopsin is
phosphorylated and binds the intrinsic 4EK-DA protein of rod outer
segment. Proc Natl Acad Sci USA 83, 1174-1178.

itz, RJ
y erythrocyte.
of the
-3177.
specific
cell mutants.

arry a
ependent

on end

lian
ions
chains. J Biol

(1985)
or: Functional
lung. Mol

8-adrenergic
lymphoma
nylate

Agonist
adrenergic
multiple
ci USA, 83:

tz RJ
of a purified
system.

vation

J. Morley, I.D. Chapman, A. Foster, K. Hoshiko & L. Mazzoni, Preclinical Research, Sandoz Pharma Ltd., 4002 Basel, Switzerland.

In recent years, the incidence and severity of asthma, as well as associated death rates, have increased in several countries. It is appropriate therefore to ascertain whether anti-asthma drugs exhibit adverse effects that might contribute to these changes. An association between usage of beta-adrenoceptor agonist drugs and airway hyperreactivity in clinical asthma (Anonymous, 1990) has prompted study of (+)salbutamol, the most commonly used bronchodilator.

In the anaesthetised ventilated guinea-pig (Sanjar et al., 1990), reactivity of the airways to intravenous histamine (1.0-3.2 µg/kg) was enhanced significantly ($p < 0.01$, $n=10$) following an intravenous infusion for one hour of (+)salbutamol (100 µg/kg), the non-bronchodilator enantiomer of racemic salbutamol. In studies with racemic salbutamol the bronchodilator action of (-) salbutamol precluded demonstration of airway hyperreactivity; hence, airway hyperreactivity was not detected following infusion of (+)salbutamol over 1 hour (100 µg/kg, $n=10$). However, increased responsiveness to histamine was demonstrable four days after sustained subcutaneous infusion of (+)salbutamol (1 mg/kg/day, $n=10$), implying that the effect of (+)salbutamol on airway responsiveness was less prone to tachyphylaxis than the spasmolytic effect of (-)salbutamol.

Subcutaneous infusion of (+)salbutamol (1 mg/kg) for more than two days increased the susceptibility of sensitised guinea-pigs to inhaled ovalbumin and caused almost 100 % mortality: an effect which was abrogated by inhalation of aerosolised (+)isoprenaline (0.1 % w/v) or subcutaneous injection of (+)salbutamol (1 mg/kg), immediately prior to inhalation of ovalbumin. Following subcutaneous infusion of (+)salbutamol (1 mg/kg, $n=10$) for 5 days, increased obstruction of the airways during inhalation or intravenous injection of ovalbumin was evident, which could account for death in such animals. Whether an increased incidence of neutrophils in the airway lumen observed 24 hours after inhalation of salbutamol (Boubekour et al., 1989) contributed to the observed increase in airway reactivity has yet to be determined.

The capacity of (+)isoprenaline to induce airway hyperreactivity has been reported previously (Sanjar et al., 1990) and provides a plausible mechanism to account for the epidemic of asthma deaths twenty years ago (Speizer et al., 1968). In light of contemporary clinical evidence that bronchodilator therapy can be associated with enhanced airway reactivity, the pharmacology of (+)salbutamol and other (+)isomers of substituted catecholamines merits clinical investigation.

Anonymous (1990) *Lancet*, 336, 1411-1412.

Boubekour, K., Aoki, S., Anderson, G., Sanjar, S. and Morley J. (1989) *Eur. Resp. J.*, 2, 755 S.

Sanjar, S., Kristiansson, A., Mazzoni, L. et al. (1990) *J. Physiol.*, 425, 43-54.

Speizer, F.E., Doll, R. and Heaf, P. (1968) *Br. Med. J.*, 1, 335-339.

296P NITRIC OXIDE AND ACETYLCHOLINE HYPERPOLARIZE SMOOTH MUSCLE CELLS IN THE RAT SMALL MESENTERIC ARTERY BY DIFFERENT MECHANISMS

C.J. Garland & G.A. McPherson The Baker Medical Research Institute, Commercial Road, Prahran, Victoria 3181 Australia

Acetylcholine and related cholinomimetics stimulate endothelium-dependent hyperpolarization and relaxation in arterial smooth muscle cells (Bolton et al., 1984; Taylor & Weston, 1988; McPherson & Angus, 1991). The differential sensitivity of the hyperpolarization and relaxation to various blocking agents has led to the suggestion that these events are mediated by separate endothelium-derived factors (Taylor & Weston, 1988). Recently, Tare & co-workers (1990) have demonstrated that nitric oxide, which appears to be or is closely related to EDRF, can stimulate smooth muscle hyperpolarization as well as relaxation, implying a role for nitric oxide in the endothelium-dependent hyperpolarization to acetylcholine. The present study investigated and compared the responses to both acetylcholine and nitric oxide in the rat mesenteric artery in a myograph.

Smooth muscle cells in isolated segments of rat small mesenteric artery had a resting potential around -59mV. Both acetylcholine and nitric oxide stimulated concentration-dependent hyperpolarization. The hyperpolarization to acetylcholine was endothelium-dependent, and increased the membrane potential to around -67mV. If the artery was first exposed to noradrenaline (1-3µM), the smooth muscle cells contracted, and were depolarized to -35mV. Acetylcholine again hyperpolarized the membrane to around -67mV with the highest concentration tested (3µM) and in addition, reversed the contraction by over 90%. Both the hyperpolarization and the relaxation were unaffected by the presence of glibenclamide (3µM). Nitric oxide (0.1-1µmole), applied either as a gas in solution or released from acidified sodium nitrite, produced a transient hyperpolarization of the resting membrane potential which varied between 3 and 9mV. Unlike acetylcholine, the hyperpolarization was abolished by prior smooth muscle depolarization in the presence of noradrenaline, although at this time nitric oxide stimulated marked smooth muscle relaxation. Glibenclamide (3µM) reversibly blocked the hyperpolarization of the resting membrane potential which occurred in response to nitric oxide.

These data show that the smooth muscle hyperpolarizations to acetylcholine and nitric oxide are induced in different ways. The voltage-dependent block of hyperpolarization to nitric oxide suggests the involvement of inwardly-rectifying potassium channels, which because of their sensitivity to glibenclamide may be ATP-dependent.

CJG was supported by a Wellcome-Ramaciotti Travel Fellowship.

Bolton, T.B., Lang, R.J. & Takekoshi, T. (1984). *J. Physiol.* 351, 549-572.

McPherson, G.A. & Angus, J.A. (1991). *Brit. J. Pharmacol.* 103, 1184-1190.

Tare, M., Parkinson, B.C., Coleman, H.A., Neild, T.O. & Dusting, G.J. (1990) *Nature* 346, 69-71.

Taylor, S.G. & Weston, A.B. (1988). *Trends. Pharmacol. Sci.* 9, 272-274.

EXHIBIT 2

DLEV012194

S 351 1894

P. 210
TP Albuterol

Racemic mixtures at root of worsening symptoms? Active enantiomers may cause adverse effects in asthma

In a recent discussion in *TIPS*¹, of mechanisms whereby β_2 -adrenoceptor-selective sympathomimetic drugs might worsen asthma symptoms, Barnes and Chung make no mention of the possibility that enantiomers of these racemic mixtures might be culpable. Isoprenaline, salbutamol, salmeterol and terbutaline have one chiral centre and are racemic mixtures of two enantiomers, with β_2 -adrenoceptor agonist activity residing in the *R*-enantiomers. Fenoterol and formoterol have two chiral centres, giving rise to two possible diastereomers each having two enantiomers and, although marketed as single diastereomers, they are racemic mixtures of the *R,R*- and *S,S*-enantiomers.

Although it is generally accepted that the activity of a single enantiomer accounts for the biological effects of sympathomimetics, potent biological properties, unrelated to adrenoceptor occupancy,

are documented. For instance, racemic tretoquinol not only relaxes airway smooth muscle but is also a potent inhibitor of platelet activation. Relaxation of guinea-pig trachea can be attributed to the (-)-*S*-enantiomer ($pD_2 = 7.10$) rather than the (+)-*R*-enantiomer ($pD_2 = 5.54$)², whereas inhibition of human platelet aggregation by the thromboxane A_2 mimetic U46619 is a property of (+)-*R*-tretoquinol ($IC_{50} = 0.99 \pm 0.02 \mu M$) rather than (-)-*S*-tretoquinol ($IC_{50} = 39.6 \pm 4.3 \mu M$)³.

The capacity of sympathomimetics to facilitate sudden death in response to inhaled allergen or airway spasmogens in the guinea-pig is long established⁴. In studying the mechanism whereby salbutamol increases susceptibility of the sensitized guinea-pig to airway spasmogens, we noted that intravenous infusion of (+)-*R*-salbutamol induces airway hyper-reactivity to leukotriene C_4 (Ref. 6) by a mechanism closely analogous

© 1992, Elsevier Science Publishers Ltd 0954-6820/92/0004-0000

232

to that detailed for (+)-*S*-isoprenaline (i.e. unaffected by racemic propranolol but prevented by vagal section)⁷.

More recently, we have observed that intratracheal instillation of *S*-isoprenaline, *S*-salbutamol and *S*-terbutaline are similarly efficacious in evoking increased airway responsiveness to intravenous injection of histamine in the anesthetized guinea-pig. Such observations demonstrate that enantiomers of sympathomimetics are not inert and hence may contribute to adverse effects of the type discussed by Barnes and Chung. It has long been recognized that use of sympathomimetics for asthma therapy is

associated with a range of inconsistent, or frankly paradoxical, effects⁸. Rather than adding further material (i.e. glucocorticosteroids) to existing products as proposed, our findings indicate that it may be prudent to remove enantiomers that were previously thought to be biologically inert.

J. D. CHAPMAN, K. H. BUCHHEIT,
F. MANLEY AND J. MORLEY

*Practical Research, Sandoz Pharma Ltd,
CH-4002 Basel, Switzerland.*

References

- 1 Barnes, P. J. and Chung, K. F. (1992) *Trends Pharmacol. Sci.* 13, 20-23
- 2 Fedyna, J. S., Adejare, A., Miller, D. D.

and Feller, D. R. (1987) *Exp. J. Pharmacol.* 135, 161-171

3 Ahn, C.-H., Romstedt, K. J., Wallace, L. J., Miller, D. D. and Feller, D. R. (1988) *Biochem. Pharmacol.* 37, 3023-3033

4 Conolly, M. E., Davies, D. S., Dollery, C. T. and George, C. F. (1971) *Br. J. Pharmacol.* 43, 385-402

5 Chapman, J. D., Mazzoni, L. and Morley, J. (1990) *Br. J. Pharmacol.* 99, 66P

6 Morley, J., Chapman, J. D., Foster, A., Hoshiko, K. and Mazzoni, L. (1991) *Br. J. Pharmacol.* 104, 295P

7 Sanjar, S., Kristenson, A., Mazzoni, L., Morley, J. and Schreiber, E. (1990) *J. Physiol.* 425, 43-54

8 Conolly, M. E., Hui, K. K., Dorst, S. E. and Jeane, J. W. (1987) in *Drug Therapy for Asthma* (Jenne, J. W. and Murphy, S. eds), pp. 259-296, Marcel Dekker Inc.

U46619: 9,11-dideoxy-11 α -epoxymethanoprostaglandin F_2

TIPS 13 231-232 (1992)

EXHIBIT 3

DLEV012195

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

DOCKET NO. SPC89-05'

PATENT APPLICATION

Applicant(s) Timothy J. Barberich and James W. Young

Serial No.: 07/896 235

Group Art. Unit: 1205

Filed: June 9, 1992

Examiner: L. Schenkman

For: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE R(-) ALBUTEROL

93 MAR 29 AM 7:38

PETITION FOR EXTENSION OF TIMEThe Honorable Commissioner
of Patents and Trademarks
Washington, D. C. 20231

Sir:

The undersigned attorney petitions the Commissioner of Patents and Trademarks to extend the time for filing a Response to the Office Action dated August 10, 1992 for 3 month(s) from November 10, 1992 to February 10, 1993.

Small EntityOther than
Small Entity

1 month -	\$ 55	\$ 110
2 months -	\$ 180	\$ 360
3 months -	X \$ 420	\$ 840
4 months -	\$ 660	\$ 1,320

☒ Enclosed is a check in the amount of \$ 420.00 to cover the cost of the extension.

☐ Please charge Deposit Account No. 08-0380 in the amount of \$ _____ to cover the cost of the extension fee.

Any deficiency or overpayment should be charged or credited to Deposit Account No. 08-0380. Two duplicate copies of this letter are enclosed.

Respectfully submitted,

Richard W. Wagner

Richard W. Wagner
Agent for Applicant(s)
Registration No. 34,480
Tel. (617) 861-6240

Lexington, Massachusetts 02173

Dated: February 10, 1993

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 2/10/93.

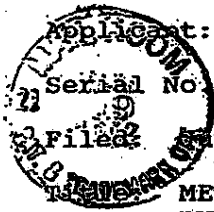
Hamilton, Brook, Smith & Reynolds, P.C.

Signature

Date

DOCKET NO. SPC89-05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Timothy J. Barberich and James W. Young

Serial No.: 07/896,725

Group Art Unit: 1205

Filed June 9, 1992

Examiner: L. Schenkman

METHOD FOR TREATING ASTHMA USING OPTICALLY
PURE R(-) ALBUTEROL

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being
deposited with the United States Postal Service as First
Class Mail in an envelope addressed to Honorable
Commissioner of Patents and Trademarks, Washington,
D.C. 20231 on 5/10/93
Hamilton, Brook, Smith & Reynolds, P.C.

Signature

Date

DECLARATION

To: Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

I, Gunnar Aberg, declare:

THAT I am a citizen of Sweden and a resident of the Town
of Westborough, Worcester County, Massachusetts;

THAT I am Vice-President of Research and Development,
Pharmaceutical Division, Sepracor, Inc., Marlborough,
Massachusetts. From 1968 to 1973 I was Director of
Pharmacology at Bofors-Nobel Pharma, from 1974 to 1978 I was
Group Leader in General Pharmacology at AB Haessle, from 1978
to 1980, I was Director of Pharmacology at Astra
Pharmaceuticals, from 1980 to 1982 I was Director of

DLEV012198

Cardiovascular Pharmacology at Ciba-Geigy; and from 1982 to 1988 I was Director of Pharmacology, and from 1988 to 1992 Executive Director of Pharmacology, at Bristol-Myers Squibb;

That I am a graduate of the University of Linköping, Sweden from which I hold a Ph.D. in Pharmacology and of the University of Göteborg, Sweden from which I hold a Ph.D. in Zoophysiology, and that I am an Associate Professor in Applied Pharmacology at the University of Linköping, Sweden;

That I have twenty-eight years' industrial experience in the area of pharmacology research;

That I am an author of 86 articles on pharmacology, including eight articles on adrenergic β -blockers and β -agonists and that I am an inventor on seven U.S. patents and 6 pending U.S. applications and that I have made numerous presentations before professional societies on the subject of adrenergic drugs;

That I have reviewed carefully the Office Action dated August 10, 1992 in the above case. I have also reviewed the application in the above case and the art cited by the examiner in his rejection, namely Chemical Abstracts 89:123259m (1978), Brittain et al., Harley et al., Hawkins, et al. and Buckner et al.; and as a result of my review and general knowledge of the subject area, I make the following analysis:

The Chemical Abstracts reference teaches that racemic albuterol may be used to treat asthma, but there is no teaching in the reference that would motivate one skilled in the art to go to the considerable trouble and expense of isolating and administering either enantiomer.

Brittain et al. show that both enantiomers and the racemic mixture of albuterol are very selective for β_1 receptors, but the isomeric activity ratio of R and S albuterol on isolated tracheal muscle (β_1) vs atrial muscle (β_2) is "impossible to calculate...because the isomers are virtually inactive on this tissue." R(-) and racemic albuterol inhibited acetylcholine-induced bronchospasm in

anesthetized guinea pigs at dose-levels of 2.5 to 100 $\mu\text{g/kg}$. The corresponding figure for S(+) albuterol was 50 to 5000 $\mu\text{g/kg}$, indicating, as expected, a lower potency of the S-isomer. No difference was reported between the effects of R(-) and R,S albuterol in the anesthetized guinea pig. The potency ratio of R(-) vs racemic albuterol could be calculated when the compounds were tested in a model of acetylcholine-enhanced pulmonary resistance in the dog, and indicated that the R(-)-isomer was approximately twice as potent as the racemate. On the isolated guinea pig trachea, Brittain et al. found R-albuterol to be approximately equipotent with the racemate (table 1; page 146). Thus, from a study of the Brittain et al. reference I have not been able to conclude anything definitive regarding either (1) the selectivity of the R isomer vs the racemate, or (2) the relative potencies of the two compounds.

Hartley and Middlemiss teach that both isomers and the racemic mixture of albuterol act on β_2 receptors rather than β_1 receptors. The effects of the R isomer and the racemic mixture are equiactive on β_2 receptors of the intact guinea pig trachea; indeed, it can be calculated from the reported data that the racemate is 1.5 times as potent as the R(-) isomer. There is no clear teaching with regard to selectivity between β_1 and β_2 for the two isomers and the racemate, because the ratio of trachea vs left atrium activity is roughly the same for the R isomer and for the racemate, and the ratio of trachea to right atrium shows a better ratio for the R isomer but partial agonist activity for the R isomer and not for the racemate. Thus, no conclusion can be drawn from Hartley and Middlemiss as to whether the R isomer would enjoy any advantage over racemic albuterol in terms of side-effects.

Hawkins and Klease characterize the study of Hartley and Middlemiss by stating that Hartley reported that racemic albuterol was 1.5 times as active as the minus enantiomer. In their studies, Hawkins and Klease found that the R enantiomer was approximately twice as potent as the racemate. They did

not examine any tissue other than guinea pig trachea so that no conclusion relating to relative selectivity could be drawn. Thus if one ignored the teachings of Brittain et al. and particularly of Hartley et al., one could interpret the Hawkins publication to disclose a small potency advantage for the R isomer. On a theoretical basis if the S isomer were totally inactive, the racemate (being a 50-50 mixture) should have a theoretical potency of about 50% that of the R isomer; Hawkins' results would be consistent with that hypothesis.

The study by Buckner and Abel examines the ratio of activity of the R and S isomers of albuterol in guinea pig atria and guinea pig trachea. They concluded "even though the potencies of single isomers may differ as much as twenty-four fold between atria and trachea, the stereoselectivity for production of activity is the same." That is, the selectivity, as measured by the ratio of tracheal to atrial activity, is the same for the two isomers. Buckner did not examine racemic albuterol so no conclusion can be drawn as regards any potency advantage of a single pure R isomer vs the racemate.

The combined teachings of all of the foregoing references provide little clear direction. If one ignores Hartley and one of Brittain's experiments, with the intention of selectively extracting from the references any advantage associated with the R isomer, it appears that the R isomer may enjoy a theoretical two-fold potency advantage over the racemate. However, as a practical matter, even were this the case, it would not motivate a person of scientific skill and experience in the pharmaceutical industry to prepare and administer the pure R isomer instead of the racemate. This is because a process for the resolution of racemic albuterol would inevitably produce R albuterol in less than 50% yield, whereas the use of the racemic albuterol would, at worst, provide 50% of the potency of the pure R. Thus there is little to be gained by resolving the racemate.

As regards the question of diminution of side effects of

R-albuterol vs racemic albuterol, there is no clear teaching in any of the references that R-albuterol would enjoy an advantage over racemic albuterol on the basis of its selectivity between β_1 and β_2 receptors.

In the instant application, Barberich and Young disclose an unexpected diminution in side effects when the pure R isomer of albuterol is administered. Side effects of drugs that have a predominant β_2 agonist component can arise from four presently recognized and well characterized receptor interactions: (a) non-adrenergic effects; (b) interaction of the β -agonist with α -receptors; (c) interaction of the β_1 agonist with β_1 receptors; and (d) interaction of the β_2 agonist with β_2 receptors. The interactions of these drugs with β_3 receptors (the adipocyte β -receptors) have not been well defined and are therefore not discussed in this declaration. Non-adrenergic effects can be triggered by interaction with any of the hundreds of other receptors and by non-receptor interactions, and they can originate from portions of the drug molecule outside the β_2 pharmacophore. They are, for this reason, difficult to predict or screen for. Interaction of β -agonists with α -receptors are known in epinephrine but are not of clinical significance in agonists like albuterol. Interaction of β_2 agonists with β_1 -receptors, causing pulmonary agents to exhibit cardiac side effects, is well documented for isoproterenol and has been discussed above for albuterol. The literature cited in the office action provides no evidence for an advantage of either enantiomer of albuterol on the basis of β_2 vs β_1 specificity.

Interaction of β_2 -agonists at β_2 -receptors can give rise to tachyphylaxis and perhaps to sensitization in addition to the desired bronchodilation. While well documented, these effects are only recently beginning to be understood. Tachyphylaxis appears to arise from mechanisms that are subsequent to the receptor-ligand interaction. [See Strasser et al. Adv. Exp. Med. Biol. 231, 503-517 (1988)]

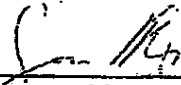
-6-

Docket No. SPC89-05'

The recent publications of Morley et al. [Brit. J. Pharmacol. 104, Supp. 295P (1991)] and Chapman et al. [Trends in Pharmacological Science 12 231-232 (1992)], which I have also reviewed, provide newly available support for applicants' disclosure in this respect. The Morley and Chapman references disclose that the S(+) isomer in bronchial tissue causes a hypersensitivity to allergen. This hypersensitivity is not usually observed in acute administration because the bronchodilator effect of the R enantiomer masks the hypersensitivity. However, on subchronic treatment with racemic albuterol Morley et al. were able to detect the hypersensitivity. They concluded from their experiments that the desired bronchodilator effect was prone to tachyphylaxis while the undesirable hypersensitivity is less prone to tachyphylaxis. Indeed, in the Chapman et al. paper the authors recommend that it may be prudent to remove enantiomers that were previously thought to be biologically inert. Their results support a previously undisclosed advantage to the use of pure R enantiomer in that the side effect of paradoxical hypersensitivity is likely to be ameliorated.

I further declare that all statements of the foregoing declaration made of my own knowledge are true and that those made upon information and belief are believed true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Signed by me this 8th day of February, 1993.


Gunnar Aberg

DLEV012203

RWW/PG/bjn 2/10/93

This will acknowledge receipt of AMENDMENT C with Certificate of Mailing and transmittal letter with Certificate of Mailing and two copies and Attachments A - F

Applicants: Timothy J. Barberich and James W. Young

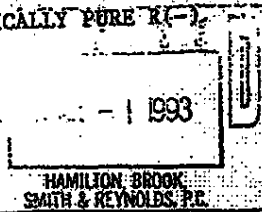
Serial No.: 07/896,725

Filed: June 9, 1992

For: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE R(-) ALBUTEROL

Docket No.: SPC89-05'

Date received in the PTO:



RWW/PG/bjn 2/10/93

This will acknowledge receipt of a DECLARATION (by Gunnar Aberg) with Certificate of Mailing and Exhibits 1, 2 & 3, for:

Applicants: Timothy J. Barberich and James W. Young

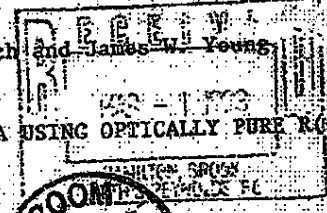
Serial No.: 07/896,725

Filed: June 9, 1992

For: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE R(-) ALBUTEROL

Docket No.: SPC89-05'

Date received in the PTO:



DLEV012204

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231 SPC89-05

07/896,725 06/09/92

SERIAL NUMBER	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
PATRICIA GRANAHAN HAMILTON, BROOK, SMITH & REYNOLDS TWO MILITIA DRIVE LEXINGTON, MA 02173	12/27/05	SCHENKMAN	

EXAMINER

1205

ART UNIT

PAPER NUMBER

26

DATE MAILED:

This is a communication from the examiner in charge of your application.
COMMISSIONER OF PATENTS AND TRADEMARKS

- ☐ This application has been examined ☒ Responsive to communication filed on 2/11/92. This action is made final.
- A shortened statutory period for response to this action is set to expire 3 month(s) — days from the date of this letter.
Failure to respond within the period for response will cause the application to become abandoned. 35 U.S.C. 133

Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION:

- | | |
|---|--|
| 1. <input type="checkbox"/> Notice of References Cited by Examiner, PTO-892. | 2. <input type="checkbox"/> Notice re Patent Drawing, PTO-948. |
| 3. <input type="checkbox"/> Notice of Art Cited by Applicant, PTO-1449. | 4. <input type="checkbox"/> Notice of Informal Patent Application, Form PTO-152. |
| 5. <input type="checkbox"/> Information on How to Effect Drawing Changes, PTO-1474. | 6. <input type="checkbox"/> |

Part II SUMMARY OF ACTION

1. ☒ Claims 1-6, 8 & 15-18 are pending in the application.
- Of the above, claims: — are withdrawn from consideration.
2. ☐ Claims — have been cancelled.
3. ☐ Claims — are allowed.
4. ☒ Claims 1-6, 8 & 15-18 are rejected.
5. ☐ Claims — are objected to.
6. ☐ Claims — are subject to restriction or election requirement.
7. ☐ This application has been filed with informal drawings under 37 C.F.R. 1.85 which are acceptable for examination purposes.
8. ☐ Formal drawings are required in response to this Office action.
9. ☐ The corrected or substitute drawings have been received on —. Under 37 C.F.R. 1.84 these drawings are: ☐ acceptable, ☐ not acceptable (see explanation or Notice re Patent Drawing, PTO-948).
10. ☐ The proposed additional or substitute sheet(s) of drawings, filed on — has (have) been ☐ approved by the examiner, ☐ disapproved by the examiner (see explanation).
11. ☐ The proposed drawing correction, filed on —, has been ☐ approved, ☐ disapproved (see explanation).
12. ☐ Acknowledgment is made of the claim for priority under U.S.C. 119. The certified copy has ☐ been received ☐ not been received
☐ been filed in parent application, serial no. —; filed on —.
13. ☐ Since this application appears to be in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.
14. ☐ Other

PTOL-328 (Rev. 9-89)

EXAMINER'S ACTION

DLEV012205

Serial No. 07/896,725

-2-

Art Unit 1205

1. The application has been reviewed^{ved} and, in response to the Status Inquiry, an action on the merits follows:

2. The following is a quotation of 35 U.S.C. § 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

3. Claims 1-6 and 15-18 are rejected under 35 U.S.C. § 103 as being unpatentable over Chemical Abstracts for reasons of record.

4. Claims 1-5 are rejected under 35 U.S.C. § 103 as being unpatentable over Brittain et al, Hartley et al and Buckner et al for reasons of record.

5. Claims 6, 8 and 15-18 are rejected under 35 U.S.C. § 103 as being unpatentable over Brittain et al, Hartley et al and Buckner et al as applied to claims 1-5 are above, and further in view of Chemical Abstracts for reasons of record.

DLEV012206

Serial No. 07/896,725

-3-

Art Unit 1205

6. Neither applicants' arguments or the Alberg declaration obviate the propriety of the rejections. Comments regarding the unobviousness of using the R(-) isomer is not persuasive. Note, for example the summary of the Brittain et al Article regarding the desirability of using the R(-) isomer and its effects on β -adrenoreceptors. Applicants has failed to show unexpected activity or less undesirable side effects (e.g. comparative therapeutic indices). Again, applicants are reminded that such a showing, if made, may not be persuasive in view of the In re Adamson decision.

The term R-(claim 18) should be R(-).

SCHENKMAN:jd
May 24, 1993

Leonard Schenkman
LEONARD SCHENKMAN
EXAMINER
ART UNIT 125

DLEV012207